

PRISON RAPE ELIMINATION ACT ANNUAL REPORT

Pennsylvania Department of Corrections

2016

Introduction

The Pennsylvania Department of Corrections (PA DOC) achieved full compliance with the United States Department of Justice Prison Rape Elimination Act (PREA) of 2003 (C.F.R. Part 115) upon conclusion of the first PREA audit cycle on August 19, 2016. The Department's full compliance was an instrumental component of Pennsylvania's ability to provide certification of PREA compliance for all Pennsylvania facilities under the Governor's jurisdiction in October of 2016. The PA DOC remains committed to continually enhancing its efforts to prevent, detect and respond to sexual abuse and sexual harassment within its facilities.

In the final months of the first PREA auditing cycle in 2016, ten of the Department's State Correctional Institutions (SCIs) and seven of its Community Corrections Centers (CCCs) were certified as PREA compliant. With the certification of compliance at these facilities in 2016, a total of 25 SCIs, Quehanna Boot Camp, and 13 CCCs under the PA DOC's operational control had achieved PREA compliance. In October of 2016, the Department began the second PREA auditing cycle with two SCIs being recertified as PREA compliant.

In 2016, the PA DOC formally revised its PREA policy, DC-ADM 008, to further enhance its existing prevention, detection and response procedures, formalized procedures to ensure appropriate housing and placement decisions for transgender and intersex inmates, as well as improved its directives for data collection and retention. Additionally, the Department held a series of in-person meetings with PREA Compliance Managers (PCMs) and their Administrative Officers (AOs) within the SCIs and Bureau of Community Corrections (BCC). These meetings provided training specifically aimed at improving the PCMs knowledge of the PREA standards, Department PREA policy, increasing awareness of the needs of LGBTI inmates/reentrants and sensitizing individuals to the needs of employing trauma informed approaches to survivors of sexual abuse.

Building upon previous collaborative efforts with the state juvenile justice system, county jails, and The Moss Group, the PA DOC began delivering a series of Professional Boundaries trainings within the SCIs and CCCs in 2016. This training was initiated through a demonstration grant awarded by the US Department of Justice and was intended to enhance cross-gender supervision practices, empower employees with the professional courage to address wrongdoing and further promote a culture of sexual safety within PA DOC facilities. In 2016, the PA DOC delivered multiple sessions of specialized investigator training to security staff and other SCI representatives to increase the pool of qualified PREA investigators. Additionally in 2016, facility administrators were provided additional sessions of specialized medical and mental health training.

In 2016, the PA DOC formed a partnership with TransCentralPA and Alder Health Services to facilitate a support group for transgender inmates. The support group is conducted via video conference from Central Office with multiple facilities throughout the Commonwealth. Support groups began in July of 2016 and continue on a monthly basis, reaching an audience of approximately forty (40) inmates per session.

In 2016, the PA DOC entered into a multi-state circular auditing consortium involving the states of Maryland, Michigan and Wisconsin for the purpose of conducting and receiving PREA audits during the second PREA audit cycle. While the formation of the consortium resulted in cost-savings to the Department, it also provided the Department's certified PREA auditors with an opportunity to examine and return with best practices that could be shared with facility leadership. Furthermore, it strengthens the Department's audit preparation efforts, as PA DOC staff are more acutely aware of the means by which PREA compliance can be effectively demonstrated during a PREA audit.

As part of its ongoing commitment to enhance sexual safety within its facilities, and in compliance with PREA standards §115.87 and §115.88, the Department prepares the following statistical report of PREA activity within its facilities to increase its understanding of the dynamics of sexual abuse and sexual harassment so that it may improve its prevention efforts. The statistical data referenced in this report for PA DOC operated facilities and Contract Community Confinement Facilities (CCFs) was drawn from electronic records maintained in the PA DOC's Office of Special Investigations and Intelligence (OSII) case management system and the PREA Tracking System (PTS). Statistical data pertaining to Contract County Jails (CCJs) was captured through self-reported data.

The OSII tracks reported investigations conducted within the Department's 25 SCIs, Quehanna Boot Camp, and 13 CCCs¹. The PREA incident-based data is recorded in accordance with the federal standards, and OSII reviews every PREA investigation conducted at PA DOC facilities² for quality assurance purposes. OSII's PREA data collection began on June 30, 2014, making 2016 the second complete year of data collection, which was stored in a centralized repository.

The PTS was launched in April of 2016 and was designed to be a centralized repository for all allegations reported under PREA, regardless of whether or not the allegation met the PREA standard's definition of sexual abuse or sexual harassment. The system was intended to capture all information necessary to generate the US Department of Justice, Bureau of Justice Statistics (BJS) Survey of Sexual Victimization (SSV). Allegations from 2016, which were investigated prior to the launch of the PTS, were drawn from the records of the OSII repository and entered into the PTS to allow for a full year of analysis under this system.

The incident-based data collected by OSII and the PTS has been analyzed and compiled in order to obtain the information required for the SSV. Pennsylvania sends this report annually, summarizing the total number of allegations reported in PA DOC facilities in the previous calendar year. These numbers are classified by type:

- Inmate-on-Inmate Nonconsensual Sexual Acts
- Inmate-on-Inmate Abusive Sexual Contact
- Inmate-on-Inmate Sexual Harassment
- Staff-on-Inmate Sexual Misconduct

¹ Commonwealth operated CCCs house both PA DOC offenders and Pennsylvania Board of Probation and Parole (PBPP) reentrants. This report includes all allegations reported at these facilities. However, it is important to note that the numbers required to be reported by the PA DOC to BJS include only those victims who were under the Department's jurisdiction.

² The phrase "PA DOC facilities" includes the 25 SCIs, Quehanna Boot Camp and 13 Commonwealth operated CCCs. During 2016, one of the Commonwealth operated CCCs closed.

- Staff-on-Inmate Sexual Harassment

For each substantiated³ allegation, a five-page Survey of Sexual Victimization Incident Form (SSV-IA) is completed, specifying details of the incident and the individuals involved.

This report summarizes the allegations reported at PA DOC facilities in 2016, as well as allegations reported at CCFs and CCJs with incident data comparative to those reported in 2015 and 2016. As the numbers reflect, there is a continued increase in the overall number of reports in each category of inmate-on-inmate and staff-on-inmate allegations at PA DOC facilities. Part of this increase can be attributed to enhancements in data collection techniques employed by the PTS. Specifically, the PTS allows the Department to better capture the individualized details of multi-faceted allegations and track data pertaining to each sub-component of those allegations when a report includes allegations of sexual abuse and sexual harassment that may have been alleged against both staff and inmates. Former data collection efforts typically only captured the most severe allegation when multiple allegations were contained within a single complaint.

Part 1: Inmate Perpetrators

In 2016, a total of 763 inmate-on-inmate sexual abuse and sexual harassment allegations were reported within PA DOC facilities. Of these inmate-on-inmate complaints, 59 incidents (7.7 percent⁴) were substantiated. In 2016, a total of 185 (24.2 percent) inmate perpetrator allegations involved nonconsensual sexual acts, 201 (26.3 percent) involved allegations of abusive sexual contact and 377 (49.4 percent) involved inmate-on-inmate sexual harassment.

In 2016, a total of 28 allegations of inmate-on-inmate sexual abuse and sexual harassment were reported within CCFs. Of these inmate-on-inmate allegations, four (25 percent) were substantiated. A total of two (7.1 percent) inmate perpetrator allegations involved nonconsensual sexual acts, 12 (42.9 percent) involved allegations of abusive sexual contact, and 14 (50 percent) involved inmate-on-inmate sexual harassment.

Nonconsensual Sexual Acts⁵

A total of four allegations (2.2 percent) of inmate-on-inmate nonconsensual sexual acts were substantiated in a PA DOC facility during 2016, while 123 (66.5 percent) of these allegations were unsubstantiated and 39 (21.1 percent) were unfounded. At the time of this report, 19 allegations of this category remained under investigation and the outcomes were not yet determined (Table 1).

Table 1: Inmate-on-Inmate Nonconsensual Sexual Acts, PA DOC Facilities, 2015-2016

| Inmate-on-Inmate Nonconsensual Sexual Acts | 2015 | 2016 |
|--|------------|------------|
| Total Allegations | 153 | 185 |
| Substantiated | 1 | 4 |
| Unsubstantiated | 107 | 123 |

³ Substantiated investigative outcomes were determined to have occurred based on a preponderance of the evidence.

⁴ Percentages provided in this report are approximate.

⁵ Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse; AND contact between the penis and the vulva, or the penis and the anus including penetration, however slight; OR contact between the mouth and the penis, vulva, or anus; OR penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument.

| | | |
|------------------------|----|----|
| Unfounded | 35 | 39 |
| Investigations Ongoing | 10 | 19 |

Two allegations of inmate-on-inmate nonconsensual acts were made within CCFs in 2016. None of these allegations were substantiated, two (100 percent) were unsubstantiated and none were determined to be unfounded. All investigations relative to this category of allegation were complete (Table 2).

Table 2: Inmate-on-Inmate Nonconsensual Sexual Acts, CCFs, 2015-2016

| Inmate-on-Inmate Nonconsensual Sexual Acts | 2015 | 2016 |
|--|----------|----------|
| Total Allegations | 2 | 2 |
| Substantiated | 0 | 0 |
| Unsubstantiated | 1 | 2 |
| Unfounded | 0 | 0 |
| Investigation Ongoing | 1 | 0 |

Abusive Sexual Contact⁶

A total of 201 allegations of inmate-on-inmate abusive sexual contact were made in PA DOC facilities in 2016. Of those allegations, 19 (9.5 percent) were substantiated, 140 (69.7 percent) were unsubstantiated and 34 (17 percent) were determined to be unfounded. At the time of this report, eight investigations of this category of allegation remain open and the outcomes are not yet determined (Table 3).

Table 3: Inmate-on-Inmate Abusive Sexual Contact, SCIs, 2015-2016

| Inmate-on-Inmate Abusive Sexual Contact | 2015 | 2016 |
|---|------------|------------|
| Total Allegations | 129 | 201 |
| Substantiated | 12 | 19 |
| Unsubstantiated | 96 | 140 |
| Unfounded | 19 | 34 |
| Investigation Ongoing | 2 | 8 |

A total of 12 allegations of inmate-on-inmate abusive sexual contact were made within CCFs in 2016. Four (33.3 percent) of these allegations were substantiated, seven (58.3 percent) were unsubstantiated and one (8.3 percent) was unfounded. All investigations into this category of allegation were complete (Table 4).

Table 4: Inmate-on-Inmate Abusive Sexual Contact, CCFs, 2015-2016

| Inmate-on-Inmate Abusive Sexual Contact | 2015 | 2016 |
|---|-----------|-----------|
| Total Allegations | 15 | 12 |
| Substantiated | 6 | 4 |

⁶ Sexual contact of any person without his or her consent, or of a person who is unable to consent or refuse; AND intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks of any person. Excluded are incidents in which the contact was incidental to a physical altercation.

| | | |
|-----------------------|---|---|
| Unsubstantiated | 7 | 7 |
| Unfounded | 2 | 1 |
| Investigation Ongoing | 0 | 0 |

Sexual Harassment⁷

Table 5 illustrates that inmate-on-inmate sexual harassment allegations within PA DOC facilities nearly doubled between 2015 and 2016. The 173 allegation increase represents an approximate 85% increase in allegations of this category. A portion of this increase can be attributed, in part, to better data collection efforts that now allow the PA DOC to track multiple categories of allegations contained in a single complaint. The remainder of this increase may be related to an alleged victim's confidence that their allegations will be treated seriously by the PA DOC in its response efforts.

Of the 377 allegations of inmate-on-inmate sexual harassment allegations within PA DOC facilities, 36 (9.5 percent) were substantiated, 286 (75.9 percent) were unsubstantiated and 51 (13.5 percent) were unfounded. At the time of this report, four allegations of this type remained under investigation and the outcome is unknown.

Table 5: Inmate-on-Inmate Sexual Harassment, PA DOC Facilities, 2015-2016

| Inmate-on-Inmate Sexual Harassment | 2015 | 2016 |
|------------------------------------|------------|------------|
| Total Allegations | 204 | 377 |
| Substantiated | 25 | 36 |
| Unsubstantiated | 159 | 286 |
| Unfounded | 19 | 51 |
| Investigation Ongoing | 1 | 4 |

Of the 14 allegations of inmate-on-inmate sexual harassment reported in CCFs, two (14.3 percent) were substantiated and 12 (85.7 percent) were unsubstantiated. No allegations of this category were unfounded and all investigations are complete (Table 6).

Table 6: Inmate-on-Inmate Sexual Harassment, CCFs, 2015-2016

| Inmate-on-Inmate Sexual Harassment | 2015 | 2016 |
|------------------------------------|-----------|-----------|
| Total Allegations | 12 | 14 |
| Substantiated | 4 | 2 |
| Unsubstantiated | 8 | 12 |
| Unfounded | 0 | 0 |
| Investigation Ongoing | 0 | 0 |

⁷ Repeated and unwanted sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate directed toward another.

Part 2: Staff Perpetrators

The majority (59.5 percent) of sexual abuse and sexual harassment allegations made at PA DOC facilities involved staff. A total of 1121 allegations against staff were reported in 2016, representing an increase over 40 percent from 2015. Again, a portion of this increase can be attributed, in part, to better data collection efforts that now allow the PA DOC to track multiple categories of allegations contained in a single complaint. However, it is also noted that, although the raw number of allegations against staff increased in 2016, the overall ratio of staff-on-inmate allegations decreased from 61.9 percent of all allegations reported in 2015 to 59.5 percent of total allegations made in 2016. Additionally, the total allegations of substantiated allegations against staff decreased from a total of 16 (2 percent) in 2015 to a total of 14 (1.2 percent) in 2016.

In spite of the total increase in raw allegations, a relevant factor to consider with respect to this increase, is the fact that 36.9 percent of alleged staff sexual misconduct could be unfounded and 18 percent of alleged staff sexual harassment could be unfounded. The ability of the PA DOC to prove the allegations did not occur indicates that misuses of PREA reporting mechanisms inflates the raw number of allegations and paints an inaccurate picture of staff misconduct.

Perhaps the most important contextual argument to make *is that approximately one-third of the overall number (331) of increased allegations can be attributed to less than ten of the PA DOC's 49,000 overall inmate population.* Given the serious attention to all allegations of sexual abuse and sexual harassment, it appears that PREA reporting mechanisms are misused by some individuals, particularly within specialized units or programs with high levels of supervision to call attention to grievances unrelated to PREA. Moreover, while those facilities with specialized mental health programs saw a net increase in allegations, those facilities without such programs either saw a leveling off or decline in allegation rates.

In CCFs, a total of 27 allegations of staff-on-inmate sexual abuse and sexual harassment were reported in 2016. This represents a decrease of 12 allegations (38.7 percent) from the previous year. Additionally, the number of substantiated staff related incidents at CCFs decreased from six (15.4 percent) to four (14.8 percent) in 2016.

Staff Sexual Misconduct⁸

Of the 626 allegations of staff-on-inmate sexual misconduct in PA DOC facilities, seven (1.1 percent) allegations were substantiated, 365 (58.3 percent) were unsubstantiated and 231 (36.9 percent) were unfounded. At the time of this report, 23 allegations of this category remain under investigation and outcomes are not yet determined (Table 7).

⁸ Any behavior or act of sexual nature directed toward an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excludes family, friends, or other visitors). Sexual relationships of a romantic nature between staff and inmates are included in this definition. Consensual or nonconsensual sexual acts include: intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or buttocks that is unrelated to official duties or with the intent to abuse, arouse, or gratify sexual desire; OR completed, attempted, threatened, or requested sexual acts; OR occurrences of indecent exposure, invasion of privacy, or staff voyeurism for reasons unrelated to official duties or for sexual gratification.

Table 7: Staff-on-Inmate Sexual Misconduct, PA DOC facilities, 2015 -2016

| Staff-on-Inmate Sexual Misconduct | 2015 | 2016 |
|-----------------------------------|------------|------------|
| Total Allegations | 504 | 626 |
| Substantiated | 13 | 7 |
| Unsubstantiated | 254 | 365 |
| Unfounded | 226 | 231 |
| Investigation Ongoing | 11 | 23 |

A total of 17 allegations of staff-on-inmate sexual misconduct were made within CCFs in 2016, three (17.6 percent) were substantiated, eight (47.1 percent) were determined to be unsubstantiated and five (29.4 percent) were unfounded. At the time of this report one investigation remains open and the outcome is unknown (Table 8).

Table 8: Staff-on-Inmate Sexual Misconduct, CCFs, 2015-2016

| Staff-on-Inmate Sexual Misconduct | 2015 | 2016 |
|-----------------------------------|-----------|-----------|
| Total Allegations | 27 | 17 |
| Substantiated | 3 | 3 |
| Unsubstantiated | 15 | 8 |
| Unfounded | 7 | 5 |
| Investigation Ongoing | 2 | 1 |

Staff Sexual Harassment⁹

As Table 9 illustrates, staff-on-inmate sexual harassment allegations within PA DOC facilities increased significantly between 2015 and 2016. Again, a portion of this increase can be attributed, in part, to better data collection efforts that now allow the PA DOC to track multiple categories of allegations contained in a single complaint. The total number of substantiated incidents increased proportionately to the increase in the raw number of allegations; however, the rate of unfounded allegations also increased.

In 2016, a total of 495 allegations of staff-on-inmate sexual harassment were made within PA DOC facilities. Of those allegations seven (1.4 percent) were substantiated, 394 (79.6 percent) were unsubstantiated and 89 (18 percent) were unfounded. At the time of this report, five investigations remain open and the outcome is unknown.

Table 9: Staff-on-Inmate Sexual Harassment, PA DOC facilities, 2015-2016

| Staff-on-Inmate Sexual Harassment | 2015 | 2016 |
|-----------------------------------|------------|------------|
| Total Allegations | 286 | 495 |
| Substantiated | 3 | 7 |
| Unsubstantiated | 239 | 394 |

⁹ Repeated verbal statements, comments or gestures of a sexual nature to an inmate by an employee, volunteer, contractor, official visitor, or other agency representative (excluding family, friends, or other visitors). Includes demeaning references to gender; or sexually suggestive or derogatory comments about body or clothing; OR repeated profane or obscene language or gestures.

| | | |
|-----------------------|----|----|
| Unfounded | 44 | 89 |
| Investigation Ongoing | 0 | 5 |

Of the ten allegations of staff-on-inmate sexual harassment reported in CCFs in 2016, one (10 percent) of the allegations were substantiated, eight (80 percent) of the allegations were unsubstantiated, and one (10 percent) of the allegations were unfounded (Table 10). All investigations into allegations of this category were complete.

Table 10: Staff-on-Inmate Sexual Harassment, CCFs, 2015-2016

| Staff-on-Inmate Sexual Harassment | 2015 | 2016 |
|-----------------------------------|-----------|-----------|
| Total Allegations | 12 | 10 |
| Substantiated | 3 | 1 |
| Unsubstantiated | 6 | 8 |
| Unfounded | 3 | 1 |
| Investigation Ongoing | 0 | 0 |

Part 3: Contracted County Jails (CCJs)

The PA DOC contracts with county jails to house inmates who would have otherwise returned to an SCI under the Justice Reinvestment Initiative (JRI). As part of the PA DOC's reduction in the use of CCJs, it is noted that many of these contracts were either terminated or were unutilized during the 2016 calendar year. Information not reported by the CCJs are excluded from Tables 11 and 12.

A total of 111 allegations of inmate-on-inmate sexual abuse were made at reporting CCJs in 2016. Of those allegations, eight (7.2 percent) were substantiated, 62 (55.8 percent) were unsubstantiated and 40 (36 percent) were unfounded. At the time of this report, one allegation of this category as ongoing.

A total of 43 allegations of staff-on-inmate sexual abuse were made within reporting CCJs in 2016. There was a total of six allegations (13.9 percent) that were substantiated across five facilities, 21 (48.8 percent) were unsubstantiated, and 14 (32.5 percent) were unfounded. Two investigations of this category remained ongoing at the time of this report.

Table 11: Sexual Abuse, CCJs¹⁰, 2015-2016

| Sexual Abuse | 2015 | | 2016 | |
|--------------------------|------------------|-----------------|------------------|-----------------|
| | Inmate-on-Inmate | Staff-on-Inmate | Inmate-on-Inmate | Staff-on-Inmate |
| Total Allegations | 88 | 42 | 111 | 43 |
| Substantiated | 6 | 0 | 8 | 6 |
| Unsubstantiated | 47 | 16 | 62 | 21 |
| Unfounded | 34 | 26 | 40 | 14 |
| Investigation Ongoing | 1 | 0 | 1 | 2 |

¹⁰ Tables 11 and 12 excludes McKean and Beaver counties since requested information was not received by the date of this report.

(28 C.F.R § 115.88)

A total of 121 allegations of inmate-on-inmate sexual harassment allegations were made at reporting CCJs in 2016, 17 (14 percent) were determined to be substantiated, 68 (56.2 percent) were unsubstantiated, and 36 (29.8 percent) were unfounded.

A total of 96 allegations of staff-on-inmate sexual harassment were made at reporting CCJs in 2016, five (5.2 percent) of which were substantiated, 34 (35.4 percent) were unsubstantiated, 57 (59.3 percent) were unfounded.

Table 12: Sexual Harassment, CCJs, 2015-2016

| Sexual Harassment | 2015 | | 2016 | |
|--------------------------|------------------|-----------------|------------------|-----------------|
| | Inmate-on-Inmate | Staff-on-Inmate | Inmate-on-Inmate | Staff-on-Inmate |
| Total Allegations | 134 | 82 | 121 | 96 |
| Substantiated | 28 | 8 | 17 | 5 |
| Unsubstantiated | 63 | 46 | 68 | 34 |
| Unfounded | 43 | 28 | 36 | 57 |
| Investigation Ongoing | 0 | 0 | 0 | 0 |

Actions to Improve Effectiveness

Consistent with the PA DOC's mission to continually improve its prevention, detection and response procedures for allegations of sexual abuse and sexual harassment, the agency and its facilities have taken the lessons learned through each of its audits and incident reviews to improve the effectiveness of its sexual abuse prevention, detection and response policies. Highlights of improvements made in 2016 include:

- The completion of audits at all PA DOC facilities and at all facilities under contract with the PA DOC have identified facility specific best practices and individualized needs for improvement.
- The PA DOC launched its PREA Tracking System and held numerous training sessions on its use to enhance data collection efforts.
- The PA DOC revised and reissued its PREA policy, DC-ADM 008. Revisions further strengthened efforts to improve existing prevention, detection and response policies, as well as enhanced its efforts to address the needs of LGBTI inmates.
- Professional Boundaries trainings is ongoing at each in the SCIs and CCCs.
- The PA DOC sponsored four additional staff to be trained as certified PREA auditors, increasing the total to nine active employees who can serve as subject matter experts.
- The PA DOC held group PREA Compliance Manager (PCM) trainings for PCMs working in its SCIs and CCCs. PCMs of contracted facilities also attended. These meetings focused the attention of participants on uniform application of PA DOC procedures and featured subject matter experts from the community.

Conclusion

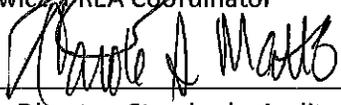
This fourth annual PREA report recounts the PA DOC's efforts to solidify a culture of sexual safety within its facilities and throughout the agency. In 2016, the agency revised its PREA policy, DC-ADM 008, to enhance operational procedures. The agency also took significant steps to improve its ability to capture, analyze and report data relative to sexual abuse and sexual harassment with the launch of the PREA

Tracking System. With consistent data collection and analysis, the power of further understanding of the dynamics of sexual abuse and sexual harassment within the PA DOC leads to targeted prevention efforts.

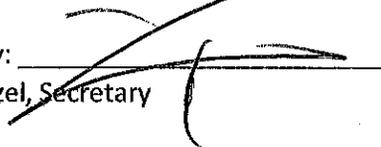
One of the challenges that the data collected in 2016 clearly illustrates that unfounded reports of staff sexual misconduct continue to be made with increasing frequency, which taxes investigative resources and paints a misleading picture of sexual safety within PA DOC facilities. The data illustrates that a significant portion of the net increase in this type of allegation can be attributed to facilities with specialized units that are designed for the management of disorderly inmates with serious mental illness. It is noted that the PA DOC significantly expanded the use of these specialized units during 2015 and 2016 and it is anticipated that the stabilization in the operation of these units will reverse this trend, mirroring the leveling off or decline in allegations experienced at most PA DOC facilities.

While the PA DOC achieved significant success in 2016 and all of its facilities were certified as PREA compliant through the auditing process, it remains committed to enhancing its prevention, detection and response procedures for sexual abuse and sexual harassment. The data collected in support of this annual report demonstrates that a reporting atmosphere has clearly been established in each of the PA DOC's facilities and investigative efforts have led to action against the perpetrators in the substantiated allegations within its facilities. As the PA DOC moves forward in the second PREA audit cycle, it will continue to identify best practices, address the actions of perpetrators and note the lessons learned to demonstrate itself as a national leader in upholding a zero-tolerance standard.

Prepared by: 
David G. Radziewicz, PREA Coordinator

Reviewed by: 
Carole A. Mattis, Director, Standards, Audits and Accreditation

Reviewed by: 
Shirley Moore Smeal, Executive Deputy Secretary

Approved by: 
John E. Wetzel, Secretary

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**Appendix A: Allegations of Inmate-on-Inmate Nonconsensual Sexual Acts, Abusive Sexual Contact,
and Sexual Harassment at PA DOC Facilities by Investigative Outcome, 2016**

| Facility | Total Allegations | Substantiated | Unsubstantiated | Unfounded | Ongoing |
|-----------------------|--------------------------|----------------------|------------------------|------------------|----------------|
| SCI Albion | 49 | 7 | 21 | 12 | 9 |
| SCI Benner Township | 33 | 5 | 21 | 7 | 0 |
| SCI Cambridge Springs | 31 | 2 | 25 | 0 | 4 |
| SCI Camp Hill | 51 | 3 | 33 | 15 | 0 |
| SCI Chester | 12 | 3 | 2 | 7 | 0 |
| SCI Coal Township | 21 | 3 | 12 | 5 | 1 |
| SCI Cresson | 7 | 0 | 6 | 0 | 1 |
| SCI Dallas | 10 | 0 | 10 | 0 | 0 |
| SCI Fayette | 23 | 1 | 19 | 3 | 0 |
| SCI Forest | 29 | 0 | 24 | 5 | 0 |
| SCI Frackville | 14 | 0 | 9 | 3 | 2 |
| SCI Graterford | 38 | 3 | 29 | 6 | 0 |
| SCI Greene | 39 | 3 | 29 | 7 | 0 |
| SCI Houtzdale | 48 | 5 | 38 | 3 | 2 |
| SCI Huntingdon | 41 | 6 | 27 | 8 | 0 |
| SCI Laurel Highlands | 8 | 0 | 3 | 5 | 0 |
| SCI Mahanoy | 20 | 0 | 14 | 3 | 3 |
| SCI Mercer | 7 | 0 | 7 | 0 | 0 |
| SCI Muncy | 94 | 7 | 70 | 17 | 0 |
| SCI Pine Grove | 2 | 0 | 2 | 0 | 0 |
| SCI Pittsburgh | 34 | 0 | 30 | 3 | 1 |
| Quehanna Boot Camp | 1 | 0 | 1 | 0 | 0 |
| SCI Retreat | 25 | 1 | 18 | 0 | 6 |
| SCI Rockview | 57 | 2 | 51 | 3 | 1 |
| SCI Smithfield | 13 | 0 | 13 | 0 | 0 |
| SCI Somerset | 30 | 3 | 23 | 3 | 1 |
| SCI Waymart | 24 | 5 | 11 | 8 | 0 |
| BCC | 2 | 0 | 1 | 1 | 0 |
| Totals | 763 | 59 | 549 | 124 | 31 |

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**Appendix B: Allegations of Staff-on-Inmate Sexual Misconduct and Sexual Harassment at PA DOC
Facilities by Investigative Outcome, 2016**

| Facility | Total Allegations | Substantiated | Unsubstantiated | Unfounded | Ongoing |
|-----------------------|--------------------------|----------------------|------------------------|------------------|----------------|
| SCI Albion | 76 | 0 | 39 | 29 | 8 |
| SCI Benner Township | 27 | 0 | 12 | 15 | 0 |
| SCI Cambridge Springs | 17 | 0 | 9 | 6 | 2 |
| SCI Camp Hill | 98 | 2 | 72 | 24 | 0 |
| SCI Chester | 13 | 0 | 11 | 2 | 0 |
| SCI Coal Township | 28 | 0 | 15 | 13 | 0 |
| SCI Cresson | 1 | 0 | 1 | 0 | 0 |
| SCI Dallas | 32 | 0 | 18 | 14 | 0 |
| SCI Fayette | 65 | 1 | 54 | 9 | 1 |
| SCI Forest | 63 | 1 | 36 | 26 | 0 |
| SCI Frackville | 51 | 0 | 31 | 8 | 12 |
| SCI Graterford | 48 | 2 | 39 | 6 | 1 |
| SCI Greene | 126 | 1 | 94 | 31 | 0 |
| SCI Houtzdale | 84 | 0 | 69 | 15 | 0 |
| SCI Huntingdon | 39 | 1 | 25 | 13 | 0 |
| SCI Laurel Highlands | 6 | 0 | 3 | 3 | 0 |
| SCI Mahanoy | 59 | 2 | 33 | 24 | 0 |
| SCI Mercer | 4 | 0 | 4 | 0 | 0 |
| SCI Muncy | 69 | 2 | 35 | 31 | 1 |
| SCI Pine Grove | 6 | 0 | 5 | 1 | 0 |
| SCI Pittsburgh | 36 | 1 | 30 | 5 | 0 |
| Quehanna Boot Camp | 1 | 1 | 0 | 0 | 0 |
| SCI Retreat | 42 | 0 | 30 | 10 | 2 |
| SCI Rockview | 64 | 0 | 55 | 9 | 0 |
| SCI Smithfield | 18 | 0 | 10 | 7 | 1 |
| SCI Somerset | 30 | 0 | 19 | 11 | 0 |
| SCI Waymart | 9 | 0 | 5 | 4 | 0 |
| BCC | 9 | 0 | 5 | 4 | 0 |
| Totals | 1121 | 14 | 759 | 320 | 28 |

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**Appendix B: Allegations of Staff-on-Inmate Sexual Misconduct and Sexual Harassment at PA DOC
Facilities by Investigative Outcome, 2016**

| Facility | Total Allegations | Substantiated | Unsubstantiated | Unfounded | Ongoing |
|-----------------------|--------------------------|----------------------|------------------------|------------------|----------------|
| SCI Albion | 76 | 0 | 39 | 29 | 8 |
| SCI Benner Township | 27 | 0 | 12 | 15 | 0 |
| SCI Cambridge Springs | 17 | 0 | 9 | 6 | 2 |
| SCI Camp Hill | 98 | 2 | 72 | 24 | 0 |
| SCI Chester | 13 | 0 | 11 | 2 | 0 |
| SCI Coal Township | 28 | 0 | 15 | 13 | 0 |
| SCI Cresson | 1 | 0 | 1 | 0 | 0 |
| SCI Dallas | 32 | 0 | 18 | 14 | 0 |
| SCI Fayette | 65 | 1 | 54 | 9 | 1 |
| SCI Forest | 63 | 1 | 36 | 26 | 0 |
| SCI Frackville | 51 | 0 | 31 | 8 | 12 |
| SCI Graterford | 48 | 2 | 39 | 6 | 1 |
| SCI Greene | 126 | 1 | 94 | 31 | 0 |
| SCI Houtzdale | 84 | 0 | 69 | 15 | 0 |
| SCI Huntingdon | 39 | 1 | 25 | 13 | 0 |
| SCI Laurel Highlands | 6 | 0 | 3 | 3 | 0 |
| SCI Mahanoy | 59 | 2 | 33 | 24 | 0 |
| SCI Mercer | 4 | 0 | 4 | 0 | 0 |
| SCI Muncy | 69 | 2 | 35 | 31 | 1 |
| SCI Pine Grove | 6 | 0 | 5 | 1 | 0 |
| SCI Pittsburgh | 36 | 1 | 30 | 5 | 0 |
| Quehanna Boot Camp | 1 | 1 | 0 | 0 | 0 |
| SCI Retreat | 42 | 0 | 30 | 10 | 2 |
| SCI Rockview | 64 | 0 | 55 | 9 | 0 |
| SCI Smithfield | 18 | 0 | 10 | 7 | 1 |
| SCI Somerset | 30 | 0 | 19 | 11 | 0 |
| SCI Waymart | 9 | 0 | 5 | 4 | 0 |
| BCC | 9 | 0 | 5 | 4 | 0 |
| Totals | 1121 | 14 | 759 | 320 | 28 |