

DEPARTMENT OF CRIMINAL JUSTICE



Budget Request

for

Fiscal Year 2021-2022

Presented to the Appropriations Committee

TABLE OF CONTENTS

	<u>Page</u>
Summary By Fund and Appropriation	1-3
Summary Charts	4-5
Standard Justification	
A. Medical Care (10011)	6-11
B. Correctional Education and Training (10012)	12-16
C. State Correctional Institutions (10013)	17-23
D. General Government Operations (10014)	24-28
E. State Field Supervision (11116)	29-33
F. Pennsylvania Parole Board (11117)	34-38
G. Office of Victim Advocate (11118)	39-44
H. Sexual Offenders Assessment Board (11119)	45-49
I. Improvements of Adult Probation Services (11120)	50-55
J. Firearm Education and Training Commission (62054)	56-60
K. Manufacturing Fund (20234)	61-62
Mission Statement	63
Federal Augmentations	63
Restricted Receipts Accounts	63
Federal Block Grants	63
Contracts/Grants	63

**SUMMARY BY FUND & APPROPRIATION
DEPARTMENT OF CRIMINAL JUSTICE**

Fund/Appropriation (SAP Fund Type / SAP Fund)		2019-20 Actual	2020-21 Available	2021-22 Budget
GENERAL FUND:				
<i>Institutional:</i>				
Medical Care	001-10011	\$ 308,710	\$ 236,486	\$ 331,486
(F) COVID-RF Medical Care	001-87745	0	99,000	0
(F) SORNA Notifications (EA)	001-80595	60	100	99
(F) PA State Opioid Response (SOR)	001-80572	5,200	4,200	4,200
(F) Electronic Health Record Integration	001-80581	90	0	0
(A) AIDS SPBP Rebates		17,500	0	0
(A) Medical Reimbursements		390	424	424
Subtotal:		<u>\$ 331,950</u>	<u>\$ 340,210</u>	<u>\$ 336,209</u>
Inmate Education and Training	001-10012	\$ 42,601	\$ 41,621	\$ 42,597
(F) Correctional Education	001-70017	750	850	850
(F) Improving Re-entry Education	001-71046	324	0	0
Subtotal:		<u>\$ 43,675</u>	<u>\$ 42,471</u>	<u>\$ 43,447</u>
State Correctional Institutions	001-10013	\$ 2,043,718	\$ 1,130,038	\$ 2,083,044
(F) COVID-RF State Correctional Institutions	001-87746	0	1,098,534	0
(F) Reimbursement for Alien Inmates	001-70013	3,800	4,992	5,000
(F) Naloxone Reentry Tracking Program	001-71098	947	947	915
(F) Changing Offender Behavior	001-70713	106	41	33
(F) Second Chance Act	001-71119	0	681	0
(F) SABG-Drug and Alcohol Programs (EA)	001-80880	1,965	1,965	1,965
(F) RSAT- State Prisoners (EA)	001-80419	502	218	465
(F) COVID-Emergency Supplemental Funding (EA)	001-87402	0	3,895	0
(A) Community Service Centers		150	150	150
(A) Institutional Reimbursements		195	190	190
(A) Social Security		163	163	163
(A) Cable Reimbursement		188	188	188
(A) Reimbursement from Other Jurisdictions		13,335	4,335	335
(R) Rockview Farm Program	001-26450	211	247	250
Subtotal:		<u>\$ 2,065,280</u>	<u>\$ 2,246,584</u>	<u>\$ 2,092,698</u>
Subtotal - State Funds		\$ 2,395,029	\$ 1,408,145	\$ 2,457,127
Subtotal - Federal Funds		\$ 13,744	\$ 1,215,423	\$ 13,527
Subtotal - Augmentations		\$ 31,921	\$ 5,450	\$ 1,450
Subtotal - Restricted Revenues		\$ 211	\$ 247	\$ 250
Total - Institutional		<u>\$ 2,440,905</u>	<u>\$ 2,629,265</u>	<u>\$ 2,472,354</u>
General Government:				
General Government Operations	001-10014	\$ 45,035	\$ 44,268	\$ 41,493
(A) County Training		149	160	160
(A) Miscellaneous		0	4	4
Subtotal:		<u>\$ 45,184</u>	<u>\$ 44,432</u>	<u>\$ 41,657</u>
(R) Firearms Education & Training Commission	001-62054	\$ 378	\$ 185	\$ 0 a
State Field Supervision	001-11116	\$ 140,602	\$ 141,527	\$ 144,356
(F) Swift Fair and Certain	001-71082	505	488	384
(F) Smart Supervision	001-71083	441	720	225
(A) State Parole Supervision Fees	001-40041	4,157	4,157	4,157
(A) Interstate Supervision Fees		92	90	90
Subtotal:		<u>\$ 145,797</u>	<u>\$ 146,982</u>	<u>\$ 149,212</u>

**SUMMARY BY FUND & APPROPRIATION
DEPARTMENT OF CRIMINAL JUSTICE**

Fund/Appropriation (SAP Fund Type / SAP Fund)		2019-20 Actual	2020-21 Available	2021-22 Budget
Board of Probation and Parole	001-11117	\$ 12,104	\$ 11,859	\$ 12,121
Office of Victim Advocate	001-11118	\$ 0	\$ 0	\$ 2,775
(F) Victim Voices Post Conviction (EA)	001-80563	465	416	0
(F) Trauma Informed Retrofitting & Juvenile Justice	001-80584	450	544	100
(F) OVA Dialogue Program	001-80556	123	124	27
(F) OVA Technological Upgrade and Training	001-80580	110	110	54
(F) OVA Post Conviction Victim Rights and Services	001-80577	0	360	b 486
(F) OVA Sex Offender Registration & Notification	001-80555	43	0	0
(F) OVA STOP Grant Training & Technical Assistance	001-80579	70	70	20
(F) Victim Notification (EA)	001-80564	95	0	0
Subtotal:		<u>\$ 1,356</u>	<u>\$ 1,624</u>	<u>\$ 3,462</u>
Sexual Offenders Assessment Board	011-11119	\$ 6,691	\$ 6,582	\$ 6,582
Subtotal - State Funds		\$ 204,432	\$ 204,236	\$ 207,327
Subtotal - Federal Funds		\$ 2,302	\$ 2,832	\$ 1,296
Subtotal - Augmentations		\$ 4,398	\$ 4,411	\$ 4,411
Subtotal - Restricted Revenues		\$ 378	\$ 185	\$ -
		<u>\$ 211,510</u>	<u>\$ 211,664</u>	<u>\$ 213,034</u>
Grants and Subsidies:				
Improvement of Adult Probation Services	011-11120	\$ 16,222	\$ 0	\$ 0
(R)County Parole Supervision Fees	011-42042	\$ 18,983	\$ 19,000	\$ 19,000
Subtotal - State Funds		\$ 16,222	\$ 0	\$ 0
Subtotal - Restricted Revenues		\$ 18,983	\$ 19,000	\$ 19,000
Total - Grants and Subsidies		<u>\$ 35,205</u>	<u>\$ 19,000</u>	<u>\$ 19,000</u>
STATE FUNDS		\$ 2,615,683	\$ 1,612,381	\$ 2,664,454
FEDERAL FUNDS		\$ 16,046	\$ 1,218,255	\$ 14,823
AUGMENTATIONS		\$ 36,319	\$ 9,861	\$ 5,861
RESTRICTED REVENUES		\$ 19,572	\$ 19,432	\$ 19,250
GENERAL FUND TOTAL		<u>\$ 2,687,620</u>	<u>\$ 2,859,929</u>	<u>\$ 2,704,388</u>
OTHER FUNDS:				
GENERAL FUND:				
MANUFACTURING FUND:				
General Operations (EA)	031-20234	\$ 94,800	\$ 94,333	\$ 97,111

**SUMMARY BY FUND & APPROPRIATION
DEPARTMENT OF CRIMINAL JUSTICE**

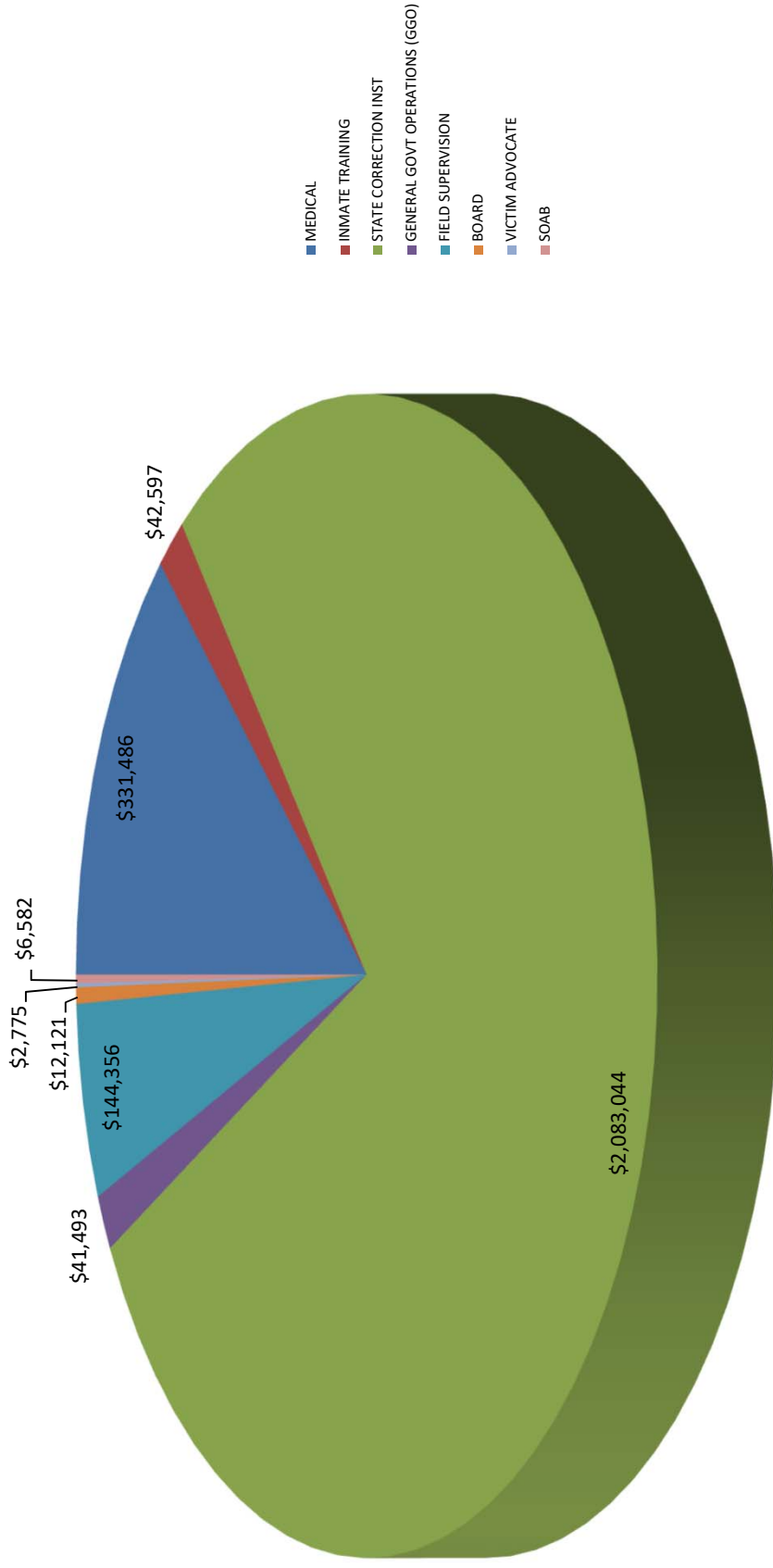
Fund/Appropriation (SAP Fund Type / SAP Fund)	2019-20 Actual	2020-21 Available	2021-22 Budget
DEPARTMENT TOTAL - ALL FUNDS			
GENERAL FUNDS	\$ 2,615,683	\$ 1,612,381	\$ 2,664,454
SPECIAL FUNDS	0	0	0
FEDERAL FUNDS	16,046	1,218,255	14,823
AUGMENTATIONS	36,319	9,861	5,861
RESTRICTED	19,572	19,432	19,250
OTHER FUNDS	94,800	94,333	97,111
TOTAL ALL FUNDS	\$ 2,782,420	\$ 2,954,262	\$ 2,801,499

a Program moves from Department of Criminal Justice to the Commission on Crime and Delinquency in December 2020 through Act 115 of 2019.

b Includes recommended supplemental executive authorization of \$360,000.

c Program moves from Department of Criminal Justice to the Commission on Crime and Delinquency through ACT 114 of 2019.

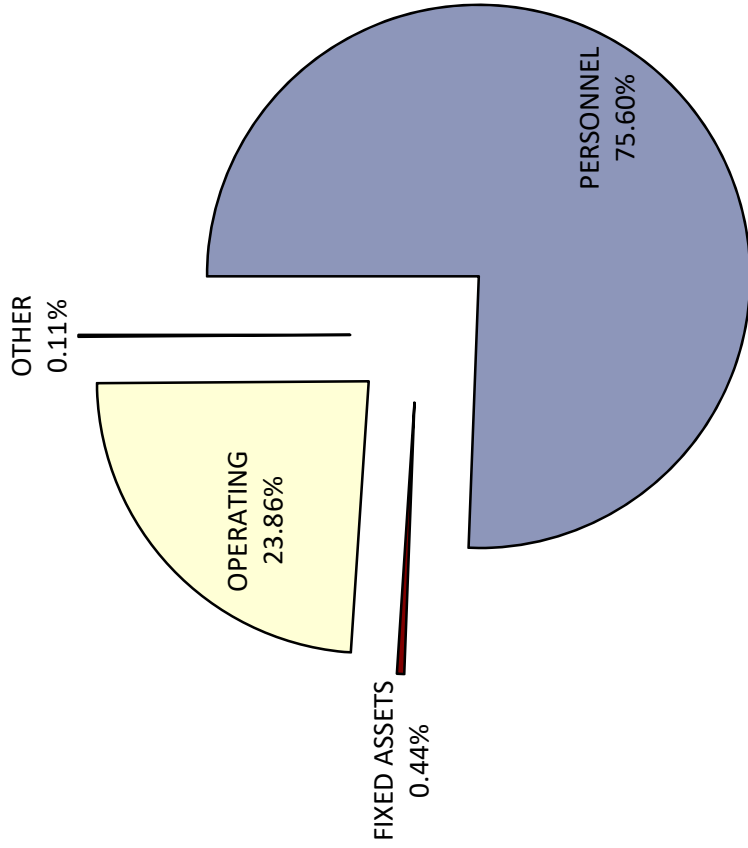
FY 2021-22 DEPARTMENT of CRIMINAL JUSTICE
 State Funds Only
 amount in thousands



FUNDING BY MAJOR OBJECT DEPARTMENT OF CRIMINAL JUSTICE

State Funds Only

FISCAL YEAR 2021-22



MAJOR OBJECTS

Major Object	Amount
PERSONNEL	\$2,014,372
FIXED ASSETS	\$11,665
OPERATING	\$635,612
OTHER	\$2,805

AMOUNTS IN THOUSANDS

APPROPRIATION DETAIL

MEDICAL CARE

I. PROGRAM NARRATIVE

The “Medical Care” appropriation funds all medical activities in the Department of Criminal Justice including the Bureau of Health Care Services. This appropriation was derived from the factors listed in Item #VI of the appropriation justification.

II. PROGRAM PERFORMANCE

Health care program performance can be partly monitored by meeting national accreditation standards. The accreditation of Department Criminal Justice facilities by the American Correctional Association includes standards for medical care. Our short term in-patient mental health units are approved by DHS. The dialysis units are held to the standards of the Kidney Dialysis Outcomes Quality Initiatives (KDQI)”, the PA Renal Network, the National Kidney Foundation, AAMI (Association for Advancement of Medical Instrumentation), and Medicare standards for renal dialysis and CDC Infection Control requirements.

Central Office health care staff perform annual management reviews at each institution which include detailed performance standards in every aspect of the healthcare delivery process. The focus of these reviews is to evaluate healthcare delivery processes which include access to healthcare, access to emergency care, consultations for specialty services, inmate health appraisal, health information management, infirmary care, the medication administration delivery system, quality improvement programs, infection control and dental. The facilities are required to submit and implement a corrective action plan for any deficiencies noted. Central Office health care staff review the plans and complete a focused review six months later to ensure the deficiencies are resolved.

The Department of Criminal Justice emphasizes quality improvement in its healthcare operations. The Department also conducts condition-specific or disease-specific audits of critical processes and outcomes in clinical areas selected because of their epidemiologic importance or because of an indication there may be an opportunity for improvement. These include diabetes, hypertension, hyperlipidemia, asthma, hepatitis C, HIV, and periodic health evaluation. Central Office health care staff also investigate high-risk, high-volume and problem-prone medical issues to facilitate quality outcomes.

The Department works with its contractors to continue to improve its healthcare services delivery model. Cooperative ventures include quality improvement, medication management in regard to therapy and cost containment/avoidance, and utilization review programs that analyze overall system utilization and trends as well as examining specific cases. Multidisciplinary healthcare team meetings include review of medical, pharmacy, and mental health practices.

The Department of Criminal Justice maintains utilization management practices to deal with increasing mental health issues. Bi-annual quality assurance audits have been implemented to assess the comprehensive mental health services program, identify vulnerabilities/deficiencies, and implement preventative

measures to ensure positive outcomes. This program is based upon standard metrics which capture relevant and quantifiable data to demonstrate program effectiveness and measurable improvements in the mental health status of inmates. Analysis of data from these studies will lead to improved inmate access to mental services and the reduction of unnecessary medications; which yields significant fiscal savings. Department staff continue to monitor the costs and program statistics carefully for cost savings and program performance of our contractors.

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget: Pp. E13.1

APPROPRIATION: Medical Care

III. SUMMARY FINANCIAL DATA

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budget</u>
State Funds	\$ 308,710	\$ 236,486	\$ 331,486
Federal Funds Total	\$ 5,350	\$ 103,300	\$ 4,299
Other Funds Total	\$ 17,890	\$ 424	\$ 424
Augmentations Sources Itemized			
AIDS SPBP Rebates	\$ 17,500	\$ 0	\$ 0
Medical Co-Payment	\$ 390	\$ 424	\$ 424
Miscellaneous	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 331,950	\$ 340,210	\$ 336,209

IIIA. REQUESTED SUPPLEMENTALS (INCLUDED ABOVE)

State Funds	\$ 14,900	\$ 0	\$ 0
Federal Funds Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 14,900	\$ 0	\$ 0

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION: Medical Care

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State	\$ 117,775	\$ 27,648	\$ 123,343	\$ 95,695	346.12%
Federal	\$ 0	\$ 99,000	\$ 0	\$ (99,000)	-100.00%
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 117,775	\$ 126,648	\$ 123,343	\$ (3,305)	-2.61%
OPERATING					
State	\$ 190,764	\$ 204,338	\$ 207,643	\$ 3,305	1.62%
Federal	\$ 5,350	\$ 4,300	\$ 4,299	\$ (1)	-0.02%
Augment	\$ 17,890	\$ 424	\$ 424	\$ 0	0.00%
Total Operating	\$ 214,004	\$ 209,062	\$ 212,366	\$ 3,304	1.58%
FIXED ASSETS					
State	\$ 171	\$ 500	\$ 500	\$ 0	0.00%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Fixed Assets	\$ 171	\$ 500	\$ 500	\$ 0	0.00%
BUDGETARY RESERVE					
State	\$ 0	\$ 4,000	\$ 0	\$ (4,000)	-100.00%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 4,000	\$ 0	\$ (4,000)	-100.00%
TOTAL FUNDS					
State	\$ 308,710	\$ 236,486	\$ 331,486	\$ 95,000	40.17%
Federal	\$ 5,350	\$ 103,300	\$ 4,299	\$ (99,001)	-95.84%
Augment	\$ 17,890	\$ 424	\$ 424	\$ 0	0.00%
Total Funds	\$ 331,950	\$ 340,210	\$ 336,209	\$ (4,001)	-1.18%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: Medical Care
--

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	975	1005	1005
<i>Filled</i>	951	954	1005
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Total			
<i>Authorized</i>	975	1005	1005
<i>Filled</i>	951	954	1005

VII. **EXPLANATION OF CHANGES**

(\$ Amounts in Thousands)

APPROPRIATION: Medical Care

	State \$	Federal \$	Other \$	Total \$
<u>PERSONNEL</u>				
1. Increase due to no COVID-RF Funds	\$ 95,695	\$ 0	\$ 0	\$ 95,695
2. COVID-RF Federal Dollar in Prior FY Only	\$ 0	\$ (99,000)	\$ 0	\$ (99,000)
Subtotal Personnel	\$ 95,695	\$ (99,000)	\$ 0	\$ (3,305)
<u>OPERATING</u>				
1. Contractual Increases in Major Medical Contract	\$ 3,305	\$ 0	\$ 0	\$ 3,305
2. Grant available Balance Lower than Prior FY	\$ 0	\$ (1)	\$ 0	\$ (1)
Subtotal Operating	\$ 3,305	\$ (1)	\$ 0	\$ 3,304
<u>FIXED ASSETS</u>				
1. Fixed asset costs	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0
<u>BUDGETARY RESERVE</u>				
1. COVID-RF Federal Dollar in Prior FY Only	\$ (4,000)	\$ 0	\$ 0	\$ (4,000)
Subtotal Budgetary Reserve	\$ (4,000)	\$ 0	\$ 0	\$ (4,000)
TOTAL	\$ 95,000	\$ (99,001)	\$ 0	\$ (4,001)

APPROPRIATION DETAIL

**INMATE EDUCATION
AND TRAINING**

I. PROGRAM NARRATIVE

The Inmate Education and Training appropriation funds academic education, vocational education, libraries, and administrative functions for all educational activities. The current statutory authority for the programs is the Department of Corrections Act of 1984; 71 P.S. 757-3; 24 P.S. 19-1926; and Act 15 of 1999. This appropriation was derived from the factors listed in Item #VI of the appropriation justification.

II. PROGRAM PERFORMANCE

We monitor performance in our inmate education and training areas. The basic educational progress of inmates is monitored continually by pre/post testing of performance using the Test of Adult Basic Education (TABE). Other performance measures include the number of inmates enrolled in education programs, progress in these programs, and successful completion; such as, being awarded a Commonwealth Secondary Diploma, Adult Commonwealth Secondary Diploma, obtaining a GED or earning vocational certification for completion of their program of instruction.

Our main goal is for inmates to achieve a GED or Commonwealth Secondary Diploma which enhances their opportunity to secure employment upon their release. In the past years, we have focused education resources on our prisoner re-entry program. A 2006 report by Doris Layton MacKenzie shows that inmates participating in adult basic education and GED programs recidivated at a 41% base rate compared to 50% in the comparison group not receiving these educational services.

The prisoner re-entry program provides the academic and vocational skills needed for inmates to gain entry level employment upon release. With approximately 98% of the Vocational Education programs providing a nationally recognized, trade-based credential /certification, employers now have the opportunity to view verifiable evidence of industry standard training requirements and accomplishments by the inmate. We have realigned and expanded the vocational programs in collaboration with Correctional Industries and the Bureau of Labor and Industry's market statistics. These new educational programs are focusing on inmates who are nearing release.

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:
Pp. E13.1

APPROPRIATION:
Inmate Education and Training

III. SUMMARY FINANCIAL DATA

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budget</u>
State Funds	\$ 42,601	\$ 41,621	\$ 42,597
Federal Funds Total	\$ 1,074	\$ 850	\$ 850
Federal Sources Itemized			
Correctional Education	\$ 750	\$ 850	\$ 850
Improving Reentry Education	\$ 324	\$ 0	\$ 0
 Total	 \$ 43,675	 \$ 42,471	 \$ 43,447

III A REQUESTED SUPPLEMENTALS (INCLUDED ABOVE)

State Funds	\$ 0	\$ 0	\$ 0
Federal Funds Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 0	\$ 0	\$ 0

IV. **DETAIL BY MAJOR OBJECT**

(\$ Amounts in Thousands)

APPROPRIATION: Inmate Education and Training
--

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
<i>State</i>	\$ 40,939	\$ 39,162	\$ 39,329	\$ 167	0.43%
<i>Federal</i>	\$ 669	\$ 582	\$ 467	\$ (115)	-19.76%
<i>Augment</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 41,608	\$ 39,744	\$ 39,796	\$ 52	0.13%
OPERATING					
<i>State</i>	\$ 1,662	\$ 2,459	\$ 3,268	\$ 809	32.90%
<i>Federal</i>	\$ 405	\$ 268	\$ 383	\$ 115	42.91%
<i>Augment</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Operating	\$ 2,067	\$ 2,727	\$ 3,651	\$ 924	33.88%
BUDGETARY RESERVE					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augment</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Budgetary Rese	\$ 0	\$ 0	\$ 0	\$ 0	N/A
TOTAL FUNDS					
<i>State</i>	\$ 42,601	\$ 41,621	\$ 42,597	\$ 976	2.34%
<i>Federal</i>	\$ 1,074	\$ 850	\$ 850	\$ 0	0.00%
<i>Augment</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Funds	\$ 43,675	\$ 42,471	\$ 43,447	\$ 976	2.30%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: Inmate Education and Training
--

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Actual</u>	<u>2021-2022 Budget</u>
State/Federal Funded			
<i>Authorized</i>	364	363	363
<i>Filled</i>	339	314	363
Federally Funded			
<i>Authorized</i>	5	5	5
<i>Filled</i>	<u>4</u>	<u>4</u>	<u>4</u>
Total			
<i>Authorized</i>	369	368	368
<i>Filled</i>	343	318	367

VII. **EXPLANATION OF CHANGES**
(\$ Amounts in Thousands)

APPROPRIATION: Inmate Education and Training
--

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<u>PERSONNEL</u>				
1. Standard Salary and Benefit Increases	\$ 167	\$ 0	\$ 0	\$ 167
2. Federal Program Personnel Decrease	<u>\$ 0</u>	<u>\$ (115)</u>	<u>\$ 0</u>	<u>\$ (115)</u>
Subtotal Personnel	\$ 167	\$ (115)	\$ 0	\$ 52
<u>OPERATING</u>				
1. Increase Contract and Supply Costs	\$ 809	\$ 0	\$ 0	\$ 809
2. Federal Program Operating Increase	<u>\$ 0</u>	<u>\$ 115</u>	<u>\$ 0</u>	<u>\$ 115</u>
Subtotal Operating	\$ 809	\$ 115	\$ 0	\$ 924
<u>BUDGETARY RESERVE</u>				
1.	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Subtotal Budgetary Reserve	\$ 0	\$ 0	\$ 0	\$ 0
TOTAL	\$ 976	\$ 0	\$ 0	\$ 976

APPROPRIATION DETAIL

**STATE CORRECTIONAL
INSTITUTIONS**

I. NARRATIVE STATEMENT

The Department of Criminal Justice (DCJ) protects the public by incarcerating individuals in state correctional institutions for the period of time specified by the courts and the Pennsylvania Parole Board (PPB). The department is charged with maintaining secure, safe and humane institutions and providing opportunities to the population for personal growth and change. Given that nearly ninety percent of incarcerated individuals in the commonwealth's state correctional institutions will eventually be released into the community, the department emphasizes programs that prepare individuals for responsible, crime-free community living. These re-entry programs include: substance use disorder treatment, education, employment, and community corrections. Individuals on parole will receive appropriate support services and levels of supervision. Plus, these individuals will be held accountable for any inappropriate behavior while in the community.

Through the excellent work of DCJ staff and our important partnerships with the General Assembly, district attorneys and counties, as well as with the PPB, we've seen our inmate population decrease by 10,422 inmates over the last 5 years, with 6,382 occurring in 2020.

STATE CORRECTIONAL INSTITUTION POPULATION

The most effective means to achieve large costs savings is to reduce the inmate population and we are working with multiple stakeholders to achieve prudent public safety strategies for reducing lower risk offender populations. With the passage of the Justice Reinvestment Initiative (JRI) in 2012, the framework has been established to make permanent and sustainable changes to curtail and ultimately reduce the inmate population. We are working with the PPB to streamline processes and increase the number of positive paroling actions where appropriate. With the passage of JRI2 on December 18, 2019, effective programs such as State Drug Treatment Program (formerly SIP), the motivational boot camp, and short sentence parole will be utilized at a higher rate. Despite a slow start, largely due to the COVID-19 pandemic, it is anticipated that this will lead to even further reductions in the prison population, and additional improvements to the criminal justice system, which will increase public safety, improve recidivism outcomes and further cost savings to the department.

In June 2019, the inmate population was at 46,482. At that time, we set a 5-year goal to reduce the population by 3,500. As of December 2020, our population is 39,493, surpassing the 5-year goal by nearly double the amount. After the pandemic subsides, the Criminal Justice Population Projection Committee will reconvene and reset future goals.

SAFER SECURE PRISONS

Our zero-tolerance drug policy and the multiple and continuous strategies that we have employed to ensure that our facilities remain drug-free have produced good results.

In 2018, the department overhauled its drug interdiction efforts. The percentage of inmates randomly testing positive for drug and alcohol use while in prison has slightly decreased to 0.6% after the drug interdiction efforts. The number of drug finds declined to 3.8 per 1,000, down from the prior year's average of 4.6. Drug-related misconduct dropped from 8.2 per 1,000 inmates before the new drug interdiction policies to 5.6 per 1,000 in the year after the drug interdiction efforts were instituted (September 2018 through August 2019). By scanning mail, using electronic drug detection equipment and body scanners, conducting frequent cell searches and employing drug-detecting dogs among many other strategies, the department has made a significant impact on the safety and security of all institutions.

SUBSTANCE USE DISORDER TREATMENT

More than 40,000 inmates within the correctional system were identified as having a dependency on drugs and/or alcohol. As drug addiction is a factor related to criminality, the department provides treatment in this area at levels clinically appropriate to the needs of the offender. Substance Use Disorder (SUD) treatment programs are provided in all correctional institutions and the capacity within these programs has expanded significantly over time. Funding for programs has likewise increased and inmate participation in these programs has doubled.

The state and federal funding provided to the department supports the substance abuse treatment program at the institutions as well as programs for parole violators and community-based alternatives. Approximately 10,000 inmates are recommended to receive SUD treatment upon entry into the state prison system. There are currently 1,905 therapeutic community beds in prison and multiple contract facilities in the communities that offer SUD treatment. The number of individuals who completed drug and alcohol treatment programs in both SCIs and community corrections' centers is over 11,500 per year.

A major treatment modality within our SUD model is the Therapeutic Community, which are living units where intensive drug and alcohol programming is provided. Recently, the TC program was evaluated and it was found that the recidivism and relapse rates were no different than the recidivism and relapse rates of those inmates in outpatient treatment at the margins. In response, the department increased the cutoff to get into TC to focus on those with the higher risk levels. This should also reduce waiting lists, as the outpatient SUD program can be completed in a shorted period. Medication Assisted Treatment (MAT) has also become a part of treatment in the DOC for those that struggle with opioid addiction. Vivitrol, oral naltrexone, buprenorphine (oral and injectable), and methadone are all offered in state correctional facilities as part of the bigger commonwealth initiative to fight the Opioid Epidemic.

II. PROGRAM PERFORMANCE

The primary instrument for monitoring the performance in maintenance of

institution security is the State Correctional Analysis Network (SCAN). SCAN is an automated data system designed to ensure that comprehensive, accurate, and uniform management reports on key security data are prepared, analyzed, and distributed to key administrators on a routine basis. The system includes data on population, assaults, fights, contraband, urine testing, inmate misconducts, inmate grievances, electronic drug detection scans, use of force, and missing tools. It enables management to assess the climate of the institutions by monitoring changes in the key institutional data. Data collected in this system is used to respond to problems. The information is also used to evaluate new policies and programs, aid in managing programs, and assist with budget and other evaluation decisions. SCAN reports are generated on a monthly basis for all institutions.

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:
Pp. E13.1

APPROPRIATION:
State Correctional Institutions

III. SUMMARY FINANCIAL DATA

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget
State Funds	\$ 2,043,718	\$ 1,130,038	\$ 2,083,044
Federal Funds Total	\$ 7,320	\$ 1,111,273	\$ 8,378
Federal Sources Itemized			
SABG - Drug & Alcohol Programs	\$ 1,965	\$ 1,965	\$ 1,965
Reimbursement for Alien Inmates	\$ 3,800	\$ 4,992	\$ 5,000
Changing Offender Behavior	\$ 106	\$ 41	\$ 33
RSAT-State Prisoners (EA)	\$ 502	\$ 218	\$ 465
Naloxone Reentry Tracking Program	\$ 947	\$ 947	\$ 915
Second Chance Act	\$ 0	\$ 681	\$ 0
COVID-Supp Funding	\$ 0	\$ 3,895	\$ 0
COVID-RF SCI	\$ 0	\$ 1,098,534	\$ 0
Other Funds Total	\$ 14,242	\$ 5,273	\$ 1,276
Augmentations Sources Itemized			
Community Service Centers	\$ 150	\$ 150	\$ 150
Institutional Reimbursements	\$ 153	\$ 153	\$ 148
Social Security	\$ 163	\$ 163	\$ 163
Miscellaneous	\$ 39	\$ 39	\$ 39
Cable Reimbursement	\$ 191	\$ 186	\$ 191
Out of State Inmates	\$ 13,335	\$ 4,335	\$ 335
Rockview Farm Program	\$ 211	\$ 247	\$ 250
Total	\$ 2,065,280	\$ 2,246,584	\$ 2,092,698

III.A REQUESTED SUPPLEMENTALS (included above)

State Funds	\$ 0
Federal Funds	\$ 0
Total	\$ 0

IV. **DETAIL BY MAJOR OBJECT**
(\$ Amounts in Thousands)

APPROPRIATION: State Correctional Institutions
--

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State	\$ 1,666,093	\$ 649,406	\$ 1,679,861	\$ 1,030,455	158.68%
Federal	\$ 2,112	\$ 1,104,542	\$ 2,118	\$ (1,102,424)	-99.81%
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Restricted Revenue	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 1,668,205	\$ 1,753,948	\$ 1,681,979	\$ (71,969)	-4.10%
					N/A
OPERATING					
State	\$ 373,062	\$ 339,043	\$ 392,128	\$ 53,085	15.66%
Federal	\$ 4,708	\$ 6,231	\$ 6,260	\$ 29	0.47%
Augmentations	\$ 14,031	\$ 5,026	\$ 1,026	\$ (4,000)	-79.59%
Restricted Revenue	\$ 211	\$ 237	\$ 250	\$ 13	5.49%
Total Operating	\$ 392,012	\$ 350,537	\$ 399,664	\$ 49,127	14.01%
					N/A
FIXED ASSETS					
State	\$ 4,343	\$ 10,000	\$ 10,000	\$ 0	0.00%
Federal	\$ 500	\$ 500	\$ 0	\$ (500)	-100.00%
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Restricted Revenue	\$ 0	\$ 10	\$ 0	\$ (10)	-100.00%
Total Fixed Assets	\$ 4,843	\$ 10,510	\$ 10,000	\$ (510)	-4.85%
					N/A
GRANTS & SUBSIDY					
State	\$ 55	\$ 55	\$ 55	\$ 0	0.00%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Restricted Revenue	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Grant/Subsidy	\$ 55	\$ 55	\$ 55	\$ 0	0.00%
					N/A
NONEXPENSE					
State	\$ 165	\$ 1,000	\$ 1,000	\$ 0	0.00%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Restricted Revenue	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Nonexpense	\$ 165	\$ 1,000	\$ 1,000	\$ 0	0.00%
					N/A
BUDGETARY RESERVE					
State	\$ 0	\$ 130,534	\$ 0	\$ (130,534)	-100.00%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Restricted Revenue	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 130,534	\$ 0	\$ (130,534)	-100.00%
					N/A
TOTAL FUNDS					
State	\$ 2,043,718	\$ 1,130,038	\$ 2,083,044	\$ 953,006	84.33%
Federal	\$ 7,320	\$ 1,111,273	\$ 8,378	\$ (1,102,895)	-99.25%
Augmentations	\$ 14,031	\$ 5,026	\$ 1,026	\$ (4,000)	-79.59%
Restricted Revenue	\$ 211	\$ 247	\$ 250	\$ 3	1.21%
Total Funds	\$ 2,065,280	\$ 2,246,584	\$ 2,092,698	\$ (153,886)	-6.85%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: State Correctional Institutions

	2018-2019	2019-2020	Estimated 2020-2021
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	13,849	13,848	13,848
* <i>Filled</i>	13,501	13,267	13,732
Federally Funded			
<i>Authorized</i>	0	0	0
* <i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
* <i>Filled</i>	<u>0</u>	<u>0</u>	<u>0</u>
Total			
<i>Authorized</i>	13,849	13,848	13,848
* <i>Filled</i>	13,501	13,267	13,732

VII. **EXPLANATION OF CHANGES**
(\$ Amounts in Thousands)

APPROPRIATION: State Correctional Institutions
--

	State \$	Federal \$	Other \$	Total \$
<u>PERSONNEL</u>				
1. Replacing Non recurring CARES Act Funding	\$ 968,000	\$ 0	\$ 0	\$ 968,000
2. Non recurring CARES Act Funding	\$ 0	\$ (1,102,429)	\$ 0	\$ (1,102,429)
3. Salary & Benefit Increases	\$ 62,455	\$ 0	\$ 0	\$ 62,455
4. Increase federal funding	\$ 0	\$ 5	\$ 0	\$ 5
Subtotal Personnel	\$ 1,030,455	\$ (1,102,424)	\$ 0	\$ (71,969)
<u>OPERATING</u>				
1. Continue Current Programs	\$ 44,585	\$ 0	\$ 0	\$ 44,585
2. State Drug Treatment program	\$ 2,000	\$ 0	\$ 0	\$ 2,000
3. Memory Loss Unit	\$ 3,000	\$ 0	\$ 0	\$ 3,000
4. Personal Care Unit	\$ 1,000	\$ 0	\$ 0	\$ 1,000
5. Mental Health Unit	\$ 2,500	\$ 0	\$ 0	\$ 2,500
6. New Federal funding and/or Increase in funding	\$ 0	\$ 29	\$ 0	\$ 29
7. Increase in Restricted Revenue	\$ 0	\$ 0	\$ 13	\$ 13
8. Non Recurring	\$ 0	\$ 0	\$ 0	\$ 0
9. Decrease in Revenue from housing out-of-state individuals	\$ 0	\$ 0	\$ (4,000)	\$ (4,000)
Subtotal Operating	\$ 53,085	\$ 29	\$ (3,987)	\$ 49,127
<u>FIXED ASSETS</u>				
1. Fixed Assets	\$ 0	\$ (500)	\$ (10)	\$ (510)
Subtotal Fixed Assets	\$ 0	\$ (500)	\$ (10)	\$ (510)
<u>NON-EXPENSE</u>				
1. Continue Current Programs	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Non-Expense	\$ 0	\$ 0	\$ 0	\$ 0
<u>BUDGETARY RESERVE</u>				
1. Non Recurring	\$ (130,534)	\$ 0	\$ 0	\$ (130,534)
Subtotal Budgetary Reserve	\$ (130,534)	\$ 0	\$ 0	\$ (130,534)
TOTAL	\$ 953,006	\$ (1,102,895)	\$ (3,997)	\$ (153,886)

APPROPRIATION DETAIL

**GENERAL
GOVERNMENT
OPERATIONS**

I. PROGRAM NARRATIVE

The “General Government Operations” appropriation funds central administrative offices and the training academy.

This 2021-22 General Government Operations (GGO) appropriation’s budget includes an increase to maintain current levels and also a decrease obtained from the move of personnel and operational funding for Office of Victim Advocate. Office of Victim Advocate funding has been moved to the Office of Victim Advocate appropriation (11118).

II. PROGRAM PERFORMANCE

The function of the General Government appropriation is to provide direction and support of those activities mentioned elsewhere in this document. The performance of administrative activities can best be measured by the success of the goals and programs which they support.

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-22**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:
Pp. E13.1

APPROPRIATION:
General Government Operations

III. SUMMARY FINANCIAL DATA

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget
State Funds	\$ 45,035	\$ 44,268	\$ 41,493
Federal Funds Total	\$ 0	\$ 0	\$ 0
Other Funds Total	\$ 149	\$ 164	\$ 164
Augmentations Sources Itemized			
County Training	\$ 149	\$ 160	\$ 160
Augmentations from State Agencies	\$ 0	\$ 4	\$ 4
Total	\$ 45,184	\$ 44,432	\$ 41,657

III.A. REQUESTED SUPPLEMENTALS (included above)

State Funds	\$ 0
Federal Funds	\$ 0
Total	\$ 0

IV. **DETAIL BY MAJOR OBJECT**

(\$ Amounts in Thousands)

APPROPRIATION: GENERAL GOVERNMENT OPERATIONS

	2019-2020 Actual	2020-2021 Available	2021-2022 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
<i>State</i>	\$ 22,820	\$ 22,689	\$ 20,310	\$ (2,379)	-10.49%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augment</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 22,820	\$ 22,689	\$ 20,310	\$ (2,379)	-10.49%
OPERATING					
<i>State</i>	\$ 20,351	\$ 17,914	\$ 19,268	\$ 1,354	7.56%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augment</i>	\$ 149	\$ 164	\$ 164	\$ 0	0.00%
Total Operating	\$ 20,500	\$ 18,078	\$ 19,432	\$ 1,354	7.49%
FIXED ASSETS					
<i>State</i>	\$ 114	\$ 165	\$ 165	\$ 0	0.00%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augment</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Fixed Assets	\$ 114	\$ 165	\$ 165	\$ 0	0.00%
GRANTS & SUBSIDY					
<i>State</i>	\$ 1,750	\$ 1,750	\$ 1,750	\$ 0	0.00%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augment</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Grant/Subsidy	\$ 1,750	\$ 1,750	\$ 1,750	\$ 0	0.00%
BUDGETARY RESERVE					
<i>State</i>	\$ 0	\$ 1,750	\$ 0	\$ (1,750)	-100.00%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augment</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 1,750	\$ 0	\$ (1,750)	-100.00%
TOTAL FUNDS					
<i>State</i>	\$ 45,035	\$ 44,268	\$ 41,493	\$ (2,775)	-6.27%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augment</i>	\$ 149	\$ 164	\$ 164	\$ 0	0.00%
Total Funds	\$ 45,184	\$ 44,432	\$ 41,657	\$ (2,775)	-6.25%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: GENERAL GOVERNMENT OPERATIONS

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	194	184	156
<i>Filled</i>	169	171	156
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Total			
<i>Authorized</i>	194	184	156
<i>Filled</i>	169	171	156

VII. **EXPLANATION OF CHANGES**
(\$ Amounts in Thousands)

APPROPRIATION: GENERAL GOVERNMENT OPERATIONS

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<u>PERSONNEL</u>				
1. OVA Transferred	\$ (2,722)	\$ 0	\$ 0	\$ (2,722)
2. Salary & Benefit increases	\$ 343	\$ 0	\$ 0	\$ 343
	<hr/>	<hr/>	<hr/>	<hr/>
Subtotal Personnel	\$ (2,379)	\$ 0	\$ 0	\$ (2,379)
<u>OPERATING</u>				
1. OVA Transferred	\$ (278)	\$ 0	\$ 0	\$ (278)
2. Continue Current Program	\$ 1,632	\$ 0	\$ 0	\$ 1,632
3. Reduction in Augs	\$ 0	\$ 0	\$ 0	\$ 0
	<hr/>	<hr/>	<hr/>	<hr/>
Subtotal Operating	\$ 1,354	\$ 0	\$ 0	\$ 1,354
<u>FIXED ASSETS</u>				
1. Fixed Asset - No Change	\$ 0	\$ 0	\$ 0	\$ 0
2.	\$ 0	\$ 0	\$ 0	\$ 0
	<hr/>	<hr/>	<hr/>	<hr/>
Subtotal Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0
<u>GRANTS & SUBSIDY</u>				
1. No Change	\$ 0	\$ 0	\$ 0	\$ 0
	<hr/>	<hr/>	<hr/>	<hr/>
Subtotal Grants & Subsidy	\$ 0	\$ 0	\$ 0	\$ 0
<u>BUDGETARY RESERVE</u>				
1. Non Recurring Budgetary Reserve	\$ (1,750)	\$ 0	\$ 0	\$ (1,750)
2.	\$ 0	\$ 0	\$ 0	\$ 0
	<hr/>	<hr/>	<hr/>	<hr/>
Subtotal Budgetary Reserve	\$ (1,750)	\$ 0	\$ 0	\$ (1,750)
	<hr/>	<hr/>	<hr/>	<hr/>
Total	<u>\$ (2,775)</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ (2,775)</u>

APPROPRIATION DETAIL

FIELD SUPERVISION

I. PROGRAM NARRATIVE

Supervision in the community by field parole agents is a crucial part of the reentry process as inmate's transition from prison to the community. The department ensures the safety of Pennsylvania citizens through solid supervision that provides the tools needed to successfully make this transition and aid individuals in becoming law-abiding citizens. Reentry is a process, not a program, and it requires that field agents apply progressive evidence-based interventions to guide, support and enforce parole conditions.

Therefore, to accomplish its mission, field staff use interventions and treatment approaches that have been proven effective through a rigorous scientific process, to strive to reduce recidivism and help parolees successfully reintegrate into the community. In the context of reentry, this often refers to a practice that has had a demonstrable, positive outcome.

This appropriation was derived from the factors listed in Item #V of the appropriation justification.

II. PROGRAM PERFORMANCE

When supervising parolees, an agent balances problem-solving case management, guiding and supporting each parolee, with required law enforcement functions, enforcing conditions, in order to reduce recidivism. Field agents are trained and retrained in risk reduction strategies and evidence-based practices in order to promote pro-social change in parolee behavior. A parolee's risk to reoffend and crime-producing needs are identified in prison so that the highest criminogenic needs are addressed as part of the supervision plan, providing a continuum of care from the institution to the field for success on parole. The supervision plan must provide continuity and reinforcement of the programming and treatment that the parolee received while in prison.

Research has shown that effective supervision can reduce recidivism up to 20 percent. After enacting justice reinvestment legislation, Pennsylvania embarked on extensive statewide supervision staff training aimed at improving supervision practices. Evidence-based practices certainly are not new, but making supervision contacts evidence-based is a fairly recent development. Effective Practices in Community Supervision (EPICS) training has been completed for all field supervision staff, which provides the skills for agents to apply core correctional practices directly to the face-to-face contact with a parolee. EPICS represents the next phase toward full implementation of evidence-based practices to facilitate positive behavioral change by focusing on a typical parole supervision contact.

Solid supervision of parolees by highly trained field staff provides them with the tools needed to transition back to society and become productive, law-abiding citizens. Field supervision essential goals for the state parole reentry system:

1. Safely manage technical parole violators in the community who are not a threat to public.
2. Maintain sufficient caseload levels in order to protect the safety of the public. The goals are 1:50 for general caseloads and 1:40 for specialized caseloads.
3. Sustain effective strategies to enhance reentry services with the goal of reducing recidivism and victimization.

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget: Pp. E13.1

APPROPRIATION: State Field Supervision

III. SUMMARY FINANCIAL DATA

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budget</u>
State Funds	\$ 140,602	\$ 141,527	\$ 144,356
Federal Funds Total	\$ 946	\$ 1,208	\$ 609
Federal Sources Itemized			
Smart Supervision	\$ 441	\$ 720	\$ 225
Swift Certain and Fair	\$ 505	\$ 488	\$ 384
Other Funds Total	\$ 4,249	\$ 4,247	\$ 4,247
Augmentations Sources Itemized			
State Parole Supervision Fees	\$ 4,157	\$ 4,157	\$ 4,157
Interstate Supervision Fees	<u>\$ 92</u>	<u>\$ 90</u>	<u>\$ 90</u>
Total	\$ 145,797	\$ 146,982	\$ 149,212

III.A. REQUESTED SUPPLEMENTALS (INCLUDED ABOVE)

State Funds	\$ 0	\$ 0	\$ 0
Federal Funds Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 0	\$ 0	\$ 0

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION: State Field Supervision
--

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State	\$ 128,886	\$ 129,374	\$ 133,532	\$ 4,158	3.21%
Federal	\$ 145	\$ 327	\$ 156	\$ (171)	-52.29%
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 129,031	\$ 129,701	\$ 133,688	\$ 3,987	3.07%
OPERATING					
State	\$ 9,636	\$ 9,250	\$ 9,824	\$ 574	6.21%
Federal	\$ 801	\$ 881	\$ 450	\$ (431)	-48.92%
Augment	\$ 4,249	\$ 4,247	\$ 4,247	\$ 0	0.00%
Total Operating	\$ 14,686	\$ 14,378	\$ 14,521	\$ 143	0.99%
FIXED ASSETS					
State	\$ 2,080	\$ 2,903	\$ 1,000	\$ (1,903)	-65.55%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Fixed Assets	\$ 2,080	\$ 2,903	\$ 1,000	\$ (1,903)	-65.55%
BUDGETARY RESERVE					
State	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Federal	\$ 0	\$ 0	\$ 3	\$ 3	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 0	\$ 3	\$ 3	N/A
TOTAL FUNDS					
State	\$ 140,602	\$ 141,527	\$ 144,356	\$ 2,829	2.00%
Federal	\$ 946	\$ 1,208	\$ 609	\$ (599)	-49.59%
Augment	\$ 4,249	\$ 4,247	\$ 4,247	\$ 0	0.00%
Total Funds	\$ 145,797	\$ 146,982	\$ 149,212	\$ 2,230	1.52%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: State Field Supervision
--

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	1200	1198	1198
<i>Filled</i>	1130	1137	1198
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	<u>0</u>	<u>0</u>	<u>0</u>
Total			
<i>Authorized</i>	1200	1198	1198
<i>Filled</i>	1130	1137	1198

VII. **EXPLANATION OF CHANGES**

(\$ Amounts in Thousands)

APPROPRIATION: State Field Supervision
--

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<u>PERSONNEL</u>				
1. Salary and Benefit Increases	\$ 4,158	\$ 0	\$ 0	\$ 4,158
2. Decrease in Federal Grant Funding	\$ 0	\$ (171)	\$ 0	\$ (171)
Subtotal Personnel	\$ 4,158	\$ (171)	\$ 0	\$ 3,987
<u>OPERATING</u>				
1. Operational Contract Increases	\$ 574	\$ 0	\$ 0	\$ 574
2. Decrease in Federal Grant Funding	\$ 0	\$ (431)	\$ 0	\$ (431)
3. Other	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Operating	\$ 574	\$ (431)	\$ 0	\$ 143
<u>FIXED ASSETS</u>				
1. Fixed Asset Costs - Radio Project Completed	\$ (1,903)	\$ 0	\$ 0	\$ (1,903)
Subtotal Fixed Assets	\$ (1,903)	\$ 0	\$ 0	\$ (1,903)
<u>BUDGETARY RESERVE</u>				
1. Federal Grant Funding	\$ 0	\$ 3	\$ 0	\$ 3
Subtotal Budgetary Reserve	\$ 0	\$ 3	\$ 0	\$ 3
TOTAL	\$ 2,829	\$ (599)	\$ 0	\$ 2,230

APPROPRIATION DETAIL

**PA PAROLE
BOARD**

I. PROGRAM NARRATIVE

The Pennsylvania Parole Board (PPB) is committed to promoting public safety, utilizing sound decision making practices that include evidence-based approaches, employing effective methods to aid parolees in reentering society and to reduce recidivism, addressing the needs of crime victims, and improving county adult probation and parole services.

This 2021-2022 budget submission underscores the creation of a newly established Department of Criminal Justice, with the intent to maintain an independent parole board appointed by the governor with confirmation by the senate.

Independent parole authority can be maintained through a structure that keeps the board as an independent entity as defined by the Administrative Code of 1929. The core functions of the new Pennsylvania Parole Board would include parole decision-making, decision processing, and decision-making regarding parole revocations. The basic mission will continue to focus on public safety and effective re-entry strategies.

II. PROGRAM PERFORMANCE

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget: Pp. E13.1

APPROPRIATION: Parole Board

III. SUMMARY FINANCIAL DATA

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budget</u>
State Funds	\$ 12,104	\$ 11,859	\$ 12,121
Federal Funds Total	\$ 0	\$ 0	\$ 0
Augmentations	\$ 0	\$ 0	\$ 0
Total	\$ 12,104	\$ 11,859	\$ 12,121

IIIA. REQUESTED SUPPLEMENTALS (INCLUDED ABOVE)

State Funds	\$ 0	\$ 0	\$ 0
Federal Funds Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 0	\$ 0	\$ 0

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION: Parole Board

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State	\$ 10,273	\$ 10,635	\$ 10,876	\$ 241	2.27%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 10,273	\$ 10,635	\$ 10,876	\$ 241	2.27%
OPERATING					
State	\$ 1,831	\$ 1,224	\$ 1,245	\$ 21	1.72%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Operating	\$ 1,831	\$ 1,224	\$ 1,245	\$ 21	1.72%
FIXED ASSETS					
State	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0	N/A
BUDGETARY RESERVE					
State	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 0	\$ 0	\$ 0	N/A
TOTAL FUNDS					
State	\$ 12,104	\$ 11,859	\$ 12,121	\$ 262	2.21%
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augment	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Funds	\$ 12,104	\$ 11,859	\$ 12,121	\$ 262	2.21%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: Parole Board

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	88	87	87
<i>Filled</i>	76	78	87
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	<u>0</u>	<u>0</u>	<u>0</u>
Total			
<i>Authorized</i>	88	87	87
<i>Filled</i>	76	78	87

VII. **EXPLANATION OF CHANGES**
 (\$ Amounts in Thousands)

APPROPRIATION: Parole Board

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<u>PERSONNEL</u>				
1. Complement and Salary Increase	\$ 241	\$ 0	\$ 0	\$ 241
Subtotal Personnel	\$ 241	\$ 0	\$ 0	\$ 241
<u>OPERATING</u>				
1. Operational Contract Increases	\$ 21	\$ 0	\$ 0	\$ 21
Subtotal Operating	\$ 21	\$ 0	\$ 0	\$ 21
<u>FIXED ASSETS</u>				
1. Fixed asset costs	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0
<u>BUDGETARY RESERVE</u>				
1. Budgetary Reserve	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Budgetary Reserve	\$ 0	\$ 0	\$ 0	\$ 0
 TOTAL	 \$ 262	 \$ 0	 \$ 0	 \$ 262

APPROPRIATION DETAIL

OVA

I. PROGRAM NARRATIVE

The Office of Victim Advocate (OVA) accesses services and information from the Pennsylvania Parole Board (PPB), Department of Corrections (DOC), the Pennsylvania State Police (PSP), The Office of Attorney General and the Philadelphia and Allegheny District Attorney's offices to fulfill the legislative mandates set out in the Crime Victims Act, Adam Walsh Act and the Prison and Parole Act.

The OVA staff consists of the following staff:

- 1 Victim Advocate
- 1 Administrative Officer
- 1 Policy Director
- 1 Supervisor
- 2 Directors
- 1 Special Assistant
- 22 Victim Assistance Coordinators (4 Regionally located: Philadelphia, Allegheny, Lehigh, and Williamsport)
- 1 Juvenile Justice Victim Assistance Coordinator
- 2 Clerical Support

The services the staff provide include advocacy, crisis intervention, registrations, notifications, input into parole decisions, case status updates, safety planning, accompaniment, information, training, technical assistance and referral for all other supportive services. OVA is responsible for representing the rights and interests of victims of crimes committed by both adult and juvenile offenders, throughout the Commonwealth.

OVA works closely with staff in both the DOC and PPB to coordinate victim representation and input options at all stages of the justice system in which victims have specific rights afforded to them. We also maintain and manage the Pennsylvania Statewide Automated Victim Notification Systems (PA SAVIN) which allows for 24/7 automated victim notifications for all movement of offenders while under the jurisdiction of the state. Additionally, OVA represents victims whose offenders are petitioning to move out of state or being considered for the interstate compact process. OVA plays a significant role with the Board of Pardons process, ensuring victims are aware of applications and of their rights to provide input at the time of a public hearing. With the increase in applications moving towards public hearings, this has become a much more significant task for our staff and we now have three staff and a director overseeing this process.

Our staff provide assistance and accompaniment for crime victims at board hearings, revocation hearings, and pardons hearings. Staff also oversee the victim rights compliance program, and provide 24/7 on-call notifications for crime victims about escapes from DOC facilities and secured Community Corrections Centers.

OVA provides status updates for all crime victims whose offenders are deemed by the courts to be Sexual Violent Predators. This is a partnership created with the PSP; there are approximately 5,000 victims registered to receive these notifications.

II. PROGRAM PERFORMANCE

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:
Pp. E13.1

APPROPRIATION:
Office of Victim Advocate

III. SUMMARY FINANCIAL DATA

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget
State Funds *	\$ 0	\$ 0	\$ 2,775
Federal Funds Total	\$ 1,356	\$ 1,624	\$ 687
Augmentations	\$ 0	\$ 0	\$ 0
Total	\$ 1,356	\$ 1,624	\$ 3,462

IIIA. REQUESTED SUPPLEMENTALS (INCLUDED ABOVE)

State Funds	\$ 0	\$ 0	\$ 0
Federal Funds Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 0	\$ 0	\$ 0

* FY2019-20 & FY2020-21 Program funded within General Government Operations

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION: Office of Victim Advocate
--

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State	\$ 0	\$ 0	\$ 2,497	\$ 2,497	N/A
Federal	\$ 556	\$ 855	\$ 499	\$ (356)	-41.64%
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 556	\$ 855	\$ 2,996	\$ 2,141	250.41%
OPERATING					
State	\$ 0	\$ 0	\$ 278	\$ 278	N/A
Federal	\$ 800	\$ 769	\$ 176	\$ (593)	-77.11%
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Operating	\$ 800	\$ 769	\$ 454	\$ (315)	-40.96%
FIXED ASSETS					
State	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0	N/A
GRANTS & SUBSIDY					
State	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Federal	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Grant/Subsidy	\$ 0	\$ 0	\$ 0	\$ 0	N/A
BUDGETARY RESERVE					
State	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Federal	\$ 0	\$ 0	\$ 12	\$ 12	N/A
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 0	\$ 12	\$ 12	N/A
TOTAL FUNDS					
State	\$ 0	\$ 0	\$ 2,775	\$ 2,775	N/A
Federal	\$ 1,356	\$ 1,624	\$ 687	\$ (937)	-57.70%
Augmentations	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Funds	\$ 1,356	\$ 1,624	\$ 3,462	\$ 1,838	113.18%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: Office of Victim Advocate
--

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	28	28	28
<i>Filled</i>	25	26	28
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Total			
<i>Authorized</i>	28	28	28
<i>Filled</i>	25	26	28

VII. **EXPLANATION OF CHANGES**

(\$ Amounts in Thousands)

APPROPRIATION: Office of Victim Advocate

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<u>PERSONNEL</u>				
1. FY2021-22 Program funded within GGO (state)	\$ 2,497	\$ 0	\$ 0	\$ 2,497
2. Decrease in Salaries (federal)	\$ 0	\$ (356)	\$ 0	\$ (356)
Subtotal Personnel	\$ 2,497	\$ (356)	\$ 0	\$ 2,141
<u>OPERATING</u>				
1. FY2021-22 Program funded within GGO (state)	\$ 278	\$ 0	\$ 0	\$ 278
2. Decrease in operational costs (federal)	\$ 0	\$ (593)	\$ 0	\$ (593)
Subtotal Operating	\$ 278	\$ (593)	\$ 0	\$ (315)
<u>FIXED ASSETS</u>				
1. Fixed asset costs	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0
<u>BUDGETARY RESERVE</u>				
1. Non Recurring Budgetary Reserve	\$ 0	\$ 12	\$ 0	\$ 12
Subtotal Budgetary Reserve	\$ 0	\$ 12	\$ 0	\$ 12
TOTAL	\$ 2,775	\$ (937)	\$ 0	\$ 1,838

APPROPRIATION DETAIL

SOAB

I. PROGRAM NARRATIVE

The Sexual Offenders Assessment Board (SOAB) plays an important role in protecting public safety through the investigation and assessment of sex offenders who are convicted of the crimes set forth in Pennsylvania's sex offender registration statute. The SOAB is composed of an independent group of professionals, including psychiatrists, psychologists and criminal justice experts, who are specialists in the assessment and treatment of sex offenders. These professionals are appointed by the governor to serve as SOAB members for four year terms. The SOAB members are supported by a small administrative staff and a team of investigators who conduct exhaustive background investigations of the convicted sex offenders and prepare written reports that provide the informational foundation for the SOAB members' assessments.

The SOAB conducts three types of assessments: (1) court-ordered assessments of convicted sex offenders to determine whether they should be classified as Sexually Violent Predators; (2) risk assessments of convicted sex offenders to assist the Pennsylvania Parole Board in the decision-making process; and (3) assessments of certain juvenile sex offenders aging out of the juvenile justice system to determine whether they should be involuntarily committed for continued sex offender treatment under Act 21 of 2003. All of these assessments are designed to identify the sex offenders who pose the greatest risk to the health and safety of the citizens of this commonwealth.

II. PROGRAM PERFORMANCE

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget: Pp. E13.1

APPROPRIATION: Sexual Offenders Assessment Board

III. SUMMARY FINANCIAL DATA

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budget</u>
State Funds	\$ 6,691	\$ 6,582	\$ 6,582
Federal Funds Total	\$ 0	\$ 0	\$ 0
Augmentations	\$ 0	\$ 0	\$ 0
Total	\$ 6,691	\$ 6,582	\$ 6,582

IIIA. REQUESTED SUPPLEMENTALS (INCLUDED ABOVE)

State Funds	\$ 0	\$ 0	\$ 0
Federal Funds Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 0	\$ 0	\$ 0

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION: Sexual Offenders Assessment Board
--

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
<i>State</i>	\$ 4,578	\$ 4,584	\$ 4,624	\$ 40	0.87%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 4,578	\$ 4,584	\$ 4,624	\$ 40	0.87%
OPERATING					
<i>State</i>	\$ 2,113	\$ 1,998	\$ 1,958	\$ (40)	-2.00%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Operating	\$ 2,113	\$ 1,998	\$ 1,958	\$ (40)	-2.00%
FIXED ASSETS					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0	N/A
GRANTS & SUBSIDY					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Grant/Subsidy	\$ 0	\$ 0	\$ 0	\$ 0	N/A
BUDGETARY RESERVE					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 0	\$ 0	\$ 0	N/A
TOTAL FUNDS					
<i>State</i>	\$ 6,691	\$ 6,582	\$ 6,582	\$ 0	0.00%
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Funds	\$ 6,691	\$ 6,582	\$ 6,582	\$ 0	0.00%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: Sexual Offenders Assessment Board
--

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 432	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	38	38	39
<i>Filled</i>	38	38	39
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Total			
<i>Authorized</i>	38	38	39
<i>Filled</i>	38	38	39

VII. **EXPLANATION OF CHANGES**
 (\$ Amounts in Thousands)

APPROPRIATION: Sexual Offenders Assessment Board

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<u>PERSONNEL</u>				
1. Salary and Benefit Increases	\$ 40	\$ 0	\$ 0	\$ 40
Subtotal Personnel	\$ 40	\$ 0	\$ 0	\$ 40
<u>OPERATING</u>				
1. Overall Operational Changes	\$ (40)	\$ 0	\$ 0	\$ (40)
Subtotal Operating	\$ (40)	\$ 0	\$ 0	\$ (40)
<u>FIXED ASSETS</u>				
1. Fixed asset costs	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0
TOTAL	\$ 0	\$ 0	\$ 0	\$ 0

APPROPRIATION DETAIL

IAPS

I. PROGRAM NARRATIVE

(The primary objectives of the grant-in-aid continuing program for the improvement of adult probation services are: (1) to maintain, improve, and expand county adult probation and parole personnel and program services; (2) to provide public safety through effective community corrections services to all county adult offenders not in need of institutional confinement, and; (3) to enable the board to provide training to county adult probation personnel.

The legal authority for the administration of the grant-in-aid and training program is found in the Prisons and Parole Code, 61 P.C.S. § 6133.

§6133(c) states:

A county which provides additional probation staff for pre-sentence investigations and for improved probation supervision and programs shall receive a grant-in-aid from the commonwealth through the board for additional costs incurred thereby but only to the extent that the additional staff and program meet the qualifications and standards established by the board.

The grant-in-aid shall provide eighty percent (80%) of the personnel salary costs incurred by a county to administer these additional services and programs.

If insufficient funds are appropriated, each county shall receive a prorated reduction in the grant-in-aid.

The board shall establish rules and regulations for the allocation of funds available for such grants-in-aid.

§6133 (d) states:

The board shall provide in-service training for personnel of county probation offices when requested to do so by the court having jurisdiction over the probation office.

The grant-in-aid state appropriation for the improvement of adult probation services is augmented by offender supervision fees remitted by the counties to the Department of Revenue. On August 14, 1991, Act 35 of 1991 was signed into law. 71 P.S. § 180-7.20 (Administrative Code § 477.20) provides the following:

Costs for Offender Supervision Programs –

(a) The court shall impose, as a condition of supervision, a monthly supervision fee of at least twenty-five dollars (\$25) on any offender placed on probation, parole, accelerated rehabilitative disposition, probation without verdict or intermediate punishment, and unless the court finds that such fee should be reduced, waived or deferred based on the offender's present inability to pay. Of the fee collected, fifty percent (50%) shall be deposited into the county offender supervision fund established in each county pursuant to this section and the remaining fifty percent (50%) shall be deposited into the State Offender Supervision Fund established pursuant to this section.

(b) The board shall impose, as a condition of supervision, a monthly supervision fee of at least twenty-five dollars (\$25) on any offender under the board's supervision, unless the board finds that such fee should be reduced, waived, or deferred based on the offender's present inability to pay.

(c) For adult offenders under the supervision of a county probation department or the board, as of the effective date of this section, the fee will automatically become a part of the supervision conditions as if the court or board had imposed it, unless the court or board makes a finding that the offender is presently unable to pay.

II. PROGRAM PERFORMANCE

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget: Pp. E13.1

APPROPRIATION: Improvement of Adult Probation Services

III. SUMMARY FINANCIAL DATA

	2019-2020 <u>Actual</u>	2020-2021 <u>Available</u>	2021-2022 <u>Budget</u>
State Funds *	\$ 16,222	\$ 0	\$ 0
Federal Funds Total	\$ 0	\$ 0	\$ 0
Augmentations *	\$ 0	\$ 0	\$ 0
Restricted Revenue (R) County Parole Supervision Fees	\$ 18,983	\$ 19,000	\$ 19,000
Total	\$ 35,205	\$ 19,000	\$ 19,000

IIIA. REQUESTED SUPPLEMENTALS (INCLUDED ABOVE)

State Funds	\$ 0	\$ 0	\$ 0
Federal Funds Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 0	\$ 0	\$ 0

* FY2020-21 Program moves from the Department of Criminal Justice to the Commission on Crime and Delinquency through Act 114 of 2019.

* FY2020-21 Proposes 100 percent of county supervision fees to be retained by the county at collection.

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION: Improvement of Adult Probation Services
--

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Personnel	\$ 0	\$ 0	\$ 0	\$ 0	N/A
OPERATING					
<i>State</i>	\$ 72	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Operating	\$ 72	\$ 0	\$ 0	\$ 0	N/A
FIXED ASSETS					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0	N/A
GRANTS & SUBSIDY					
<i>State</i>	\$ 16,150	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 18,983	\$ 19,000	\$ 19,000	\$ 0	0.00%
Total Grant/Subsidy	\$ 35,133	\$ 19,000	\$ 19,000	\$ 0	0.00%
BUDGETARY RESERVE					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 0	\$ 0	\$ 0	N/A
TOTAL FUNDS					
<i>State</i>	\$ 16,222	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Restricted Revenue</i>	\$ 18,983	\$ 19,000	\$ 19,000	\$ 0	0.00%
Total Funds	\$ 35,205	\$ 19,000	\$ 19,000	\$ 0	0.00%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: Improvement of Adult Probation Services
--

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	<u>0</u>	<u>0</u>	<u>0</u>
Total			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0

VII. **EXPLANATION OF CHANGES**
(\$ Amounts in Thousands)

APPROPRIATION: Improvement of Adult Probation Services
--

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<u>PERSONNEL</u>				
1.	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Subtotal Personnel	\$ 0	\$ 0	\$ 0	\$ 0
<u>OPERATING</u>				
1. Decreased operating costs	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Subtotal Operating	\$ 0	\$ 0	\$ 0	\$ 0
<u>FIXED ASSETS</u>				
1. Fixed asset costs	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Subtotal Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0
<u>GRANTS AND SUBSIDIES</u>				
1. Restricted Revenue	\$ 0	\$ 0	\$ 0	\$ 0
2. State Funds	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Subtotal Grants and Subsidies	\$ 0	\$ 0	\$ 0	\$ 0
TOTAL	\$ 0	\$ 0	\$ 0	\$ 0

APPROPRIATION DETAIL

FETC

I. PROGRAM NARRATIVE

The commission, which is composed of the chairman of the Pennsylvania Parole Board and eight other members appointed by the governor, was established by Act 158 of 1994 to develop and administer a firearm education and training program to certify county probation and parole officers authorized to carry a weapon on duty. County probation and parole officers are required to successfully complete the commission's Basic Firearms Training Program to be initially certified to carry a firearm. Once certified, all officers are required to be re-certified annually. Maintenance of an officer's certification is accomplished by passing a re-qualification course of fire and successfully completing any in-service training prescribed by the commission. The commission is funded by a restricted receipts account within the commonwealth's general fund. Monies for the account are derived from a \$5.00 cost imposed on any person who accepts accelerated rehabilitative disposition, pleads guilty or nolo contendere, or is convicted of a felony or misdemeanor under the laws of the commonwealth. Training expenses may also be paid out of the County Offender Supervision Fund. Additionally, a juvenile probation officer's county of employment may be charged a prorated share of the additional actual training costs in the event that sufficient funds are not generated by costs imposed on offenders.

The \$191,000 requested for personnel strictly reflects salary and benefit costs for the existing two staff members of the commission and partial salary for the commission's legal counsel. The staff plans, implements, manages, and evaluates the commission's firearms training programs, commission and committee meetings, commission-certified firearms instructors, and all other commission programs to achieve the mandates of Act 158. They also operate and administer the Firearm Commission Training Management System (FCTMS), the commission's electronic management application. In addition, the executive director consults with departments and agencies of the commonwealth, its counties, other states, schools, and federal government organizations in order to identify and recommend types of trainings that will benefit county probation and parole officers.

II. PROGRAM PERFORMANCE

**DEPARTMENT OF CRIMINAL JUSTICE
BUDGET REQUEST FOR FY 2021-2022**
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget: Pp. E13.1

APPROPRIATION: Firearm Education & Training Commission

III. SUMMARY FINANCIAL DATA

	<u>2019-2020 Actual</u>	<u>2020-2021 Available</u>	<u>2021-2022 Budget</u>
State Funds	\$ 0	\$ 0	\$ 0
Federal Funds Total	\$ 0	\$ 0	\$ 0
Augmentations *	\$ 378	\$ 185	\$ 0
Total	\$ 378	\$ 185	\$ 0

IIIA. REQUESTED SUPPLEMENTALS (INCLUDED ABOVE)

State Funds	\$ 0	\$ 0	\$ 0
Federal Funds Total	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>
Total	\$ 0	\$ 0	\$ 0

* FY2020-21 Program moves from the Department of Criminal Justice to the Commission on Crime and Delinquency in December 2020 through Act 115 of 2019.

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION: Firearm Education & Training Commission
--

	2019-2020 Actual	2020-2021 Available	2021-2022 Budget	Change Budgeted vs. Available	Percent Change
PERSONNEL					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augmentations</i>	\$ 186	\$ 96	\$ 0	\$ (96)	-100.00%
Total Personnel	\$ 186	\$ 96	\$ 0	\$ (96)	-100.00%
OPERATING					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augmentations</i>	\$ 192	\$ 89	\$ 0	\$ 0	0.00%
Total Operating	\$ 192	\$ 89	\$ 0	\$ (89)	-100.00%
FIXED ASSETS					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augmentations</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0	N/A
GRANTS & SUBSIDY					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augmentations</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total Grant/Subsidy	\$ 0	\$ 0	\$ 0	\$ 0	N/A
BUDGETARY RESERVE					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augmentations</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
Total BUDG RSRV	\$ 0	\$ 0	\$ 0	\$ 0	N/A
TOTAL FUNDS					
<i>State</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Federal</i>	\$ 0	\$ 0	\$ 0	\$ 0	N/A
<i>Augmentations</i>	\$ 378	\$ 185	\$ 0	\$ (185)	-100.00%
Total Funds	\$ 378	\$ 185	\$ 0	\$ (185)	-100.00%

V. **HISTORY OF LAPSES**
(\$ Amounts in Thousands)

APPROPRIATION: Firearm Education & Training Commission
--

	<u>2018-2019</u>	<u>2019-2020</u>	<u>Estimated 2020-2021</u>
State Funds	\$ 0	\$ 0	\$ 0

VI. **COMPLEMENT INFORMATION**

	<u>12/30/2019 Actual</u>	<u>12/28/2020 Available</u>	<u>2021-2022 Budgeted</u>
State/Federal Funded			
<i>Authorized</i>	2	0	0
<i>Filled</i>	2	0	0
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Total			
<i>Authorized</i>	2	0	0
<i>Filled</i>	2	0	0

VII. **EXPLANATION OF CHANGES**
(\$ Amounts in Thousands)

APPROPRIATION: Firearm Education & Training Commission
--

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<u>PERSONNEL</u>				
1.	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Personnel	\$ 0	\$ 0	\$ 0	\$ 0
<u>OPERATING</u>				
1. FY2021-22 moved to PCCD December 2020	\$ (185)	\$ 0	\$ 0	\$ (185)
Subtotal Operating	\$ (185)	\$ 0	\$ 0	\$ (185)
<u>FIXED ASSETS</u>				
1. Fixed asset costs	\$ 0	\$ 0	\$ 0	\$ 0
Subtotal Fixed Assets	\$ 0	\$ 0	\$ 0	\$ 0
TOTAL	\$ (185)	\$ 0	\$ 0	\$ (185)

APPROPRIATION DETAIL

MANUFACTURING FUND

MANUFACTURING FUND

The Manufacturing Fund is a self-sustaining enterprise fund that supports the Department of Corrections' Correctional Industries program. Correctional Industries produces manufactured and processed goods using inmate labor supervised by CI Staff. This program provides inmates with vocational skills and the opportunity, perhaps for the first time, to function in a structured environment and learn work ethics that will be a valuable key to their success upon release.

Correctional Industries will continue the implementation of an automated business package (SAP) that includes accounting elements for material requirements, inventory control, product costing, customer relationship management, scheduling and planning, and sales and distribution.

The Correctional Industries Commissary operation supports all of the State Correctional Institutions from three distribution centers. Offender commissary orders are filled weekly and delivered to each SCI and the Quehanna Boot Camp. PCI Commissary purchases supplies and produces primarily from awarded contracts.

Finally, an emphasis will be placed on the continued upgrade of Correctional Industries existing operations. Overall sales revenues and operational costs will continue to rise in the out years with the upgrading of existing facilities and the establishment of new programs.

Manufacturing Fund

This fund, created in 1915, is a self-sustaining enterprise that provides institutionalized offenders an opportunity for vocational rehabilitation. Revenues are derived from the sale of products manufactured by the offenders. Revenues are used to purchase raw materials, machinery replacement, inmate wages, and other costs related to the sale and manufacture of their products.

Statement of Cash Receipts and Disbursements:

(Dollar Amounts in Thousands)

	2019-20 Actual	2020-21 Estimated	2021-22 Estimated	2022-23 Estimated
Treasury Cash Balance, Beginning	\$ 27,731	\$ 28,949	\$ 13,587	\$ 3,886
Receipts:				
Sale of Products	\$ 77,277	\$ 83,201	\$ 87,110	\$ 90,993
Interest	900	587	300	500
Other	0	0	0	0
Total Receipts	<u>78,177</u>	<u>83,788</u>	<u>87,410</u>	<u>91,493</u>
Total Funds Available	\$ 105,908	\$ 112,737	\$ 100,997	\$ 95,379
Disbursements:				
Treasury				
Corrections	-76,959	99,150	97,111	102,516
Transfer to DGS	0	0	0	0
Total Disbursements	<u>-76,959</u>	<u>-99,150</u>	<u>-97,111</u>	<u>-102,516</u>
Cash Balance, Ending	\$ 28,949	\$ 13,587	\$ 3,886	\$ -7,137

ITEM (2)

MISSION STATEMENT

The Pennsylvania Department of Criminal Justice (DCJ) operates as one team, embraces diversity, and commits to enhancing public safety. We are proud of our reputation as leaders in the corrections field. Our mission is to reduce criminal behavior by providing individualized treatment and education to inmates, resulting in successful community reintegration through accountability and positive change

ITEM (6)

FEDERAL AUGMENTATIONS

The Request for Approval of Federal Funds forms are not included in this presentation. Copies are being submitted separately.

ITEM (7)

RESTRICTED RECEIPT ACCOUNTS

No restricted receipt accounts.

ITEM (8)

FEDERAL BLOCK GRANTS

The Department of Criminal Justice does not receive block grant funds directly from the Federal Government.

ITEM (9)

CONTRACTS

Contract information is being submitted separately.