



pennsylvania

DEPARTMENT OF HUMAN SERVICES
OFFICE OF DEVELOPMENTAL PROGRAMS

Home & Community-Based Settings Rule Assessments

LICENSING RESULTS

Fiscal Year 2020-2021



A. INTRODUCTION.....	3
About the HCBS Settings Rule	3
Inspection Methods	4
B. SUMMARY OF FINDINGS & RESULTS.....	6
ADULT TRAINING FACILITIES LICENSING INSPECTION RESULTS (LICENSED UNDER CHAPTER 2380)	7
VOCATIONAL FACILITIES LICENSING INSPECTION RESULTS (LICENSED UNDER CHAPTER 2390)	9
COMMUNITY HOMES LICENSING INSPECTION RESULTS (LICENSED UNDER CHAPTER 6400).....	10
LIFE SHARING HOMES LICENSING INSPECTION RESULTS (LICENSED UNDER CHAPTER 6500)	14
Figure 1: ODP Waiver Services Rendered in Each Licensed Facility and Home Type.....	4
Figure 2: Total Number/Percent of Inspections of Facilities/Homes with No HCBS Violations.....	6
Figure 3: Total Number/Percent of Inspections of Facilities/Homes with at Least 1 HCBS Violation.....	6
Figure 4: Number/Percent of Chapter 2380 Licensed Facilities Cited with Corresponding Number of HCBS Violations.....	7
Figure 5: Number of Chapter 2380 Licensed Facilities Inspected/Cited by Regulation	8
Figure 6: Number/Percent of Chapter 2390 Licensed Facilities with Corresponding Number of HCBS Violations ...	9
Figure 7: Number of Chapter 2390 Licensing Inspections/Facilities Cited with Accompanying Regulation Details..	9
Figure 8: Number/Percent of Chapter 6400 Licensed Homes Inspected/Cited with Corresponding Number of HCBS Violations	10
Figure 9: Number of Chapter 6400 Licensed Homes Inspected/Cited with Accompanying Regulation Details	13
Figure 10: Number of Chapter 6400 Licensed Homes Cited for Failure to Correct Identified Violations.....	13
Figure 11: Number/Percent of Chapter 6500 Licensed Homes with 1 or More Violations	14
Figure 12: Number of 6500 Homes Inspected/Cited with Accompanying Regulation Details	15
Figure 13: Number of Chapter 6500 Licensed Homes Cited for Failure to Correct Identified Violations.....	15

A. INTRODUCTION

About the HCBS Settings Rule

The Home and Community-Based Services (HCBS) Settings Rule became effective in March of 2014 and was created by the Centers for Medicare and Medicaid Services (CMS) to enhance the quality of HCBS, provide protections to individuals, and to ensure individuals receiving HCBS have full access to the benefits of community living and the opportunity to receive services in the most integrated setting appropriate. This includes opportunities to seek employment, work in competitive and integrated settings, engage in community life, control personal resources, and receive services in the community to the same degree as people who do not receive HCBS.

The Office of Developmental Programs (ODP) HCBS waivers include the Consolidated, Community Living, Person/Family Directed Support (P/FDS), and Adult Autism (AAW) waivers. The CMS HCBS Settings Rule requires states to assess all services and service locations that receive funding or payment through an approved HCBS waiver. To meet these expectations, ODP incorporated requirements into licensing and programmatic regulations 55 Pa. Code Chapters 2380, 2390, 6100, 6400, and 6500, that align with the Settings Rule, and were published on October 5, 2019, with most requirements effective on February 1, 2020. This report provides results from licensing inspections completed in Fiscal Year 2020-2021 (FY20-21), between July 1, 2020, and June 30, 2021, for service locations licensed under 55 Pa. Code Chapters 2380, 2390, 6400, or 6500.

Adult Training Facilities under Chapter 2380, Vocational Facilities under Chapter 2390, Community Homes under Chapter 6400, and Life Sharing Homes under Chapter 6500 must have a license to operate in accordance with Pennsylvania statutes and regulations. As these settings serve individuals with an intellectual disability or autism (ID/A), ODP is responsible for completing licensing inspections and other oversight activities. To accomplish this, ODP has a Regulatory Administration Unit responsible for developing operational policy and regulatory interpretations, enforcement actions, and ensuring that licensing functions are performed consistently across the state, as well as approximately 50 regionally based regulatory professionals who complete inspections of these settings at least once annually, and as needed, in response to complaints or serious incidents.

Licensing inspections usually occur onsite at a home or facility, however, due to the COVID-19 pandemic, inspections were also completed in FY20-21 using technology that allowed regulatory professionals to visually inspect the environment and speak with provider representatives and individuals receiving services, in real time, from an off-site location. This method—which was adopted by other states based on Pennsylvania’s success—was found to be effective for compliance measurement while protecting individuals from exposure to COVID-19.

Figure 1 shows the ODP waiver services rendered in each licensed facility and home type.

Facility/Home Type	Community Participation Support in Consolidated, Community Living and P/FDS Waivers	Day Habilitation in AAW	Residential Habilitation in Consolidated and Community Living Waivers	Residential Habilitation in AAW	Life Sharing in Consolidated and Community Living Waivers
Adult Training Facilities	X	X			
Vocational Facilities	X				
Community Homes			X	X	
Life Sharing Homes				X	X

Inspection Methods

ODP first identified requirements in Chapters 2380, 2390, 6400 and 6500 that align with the HCBS Settings Rule. These are outlined in more detail [here](#). Then ODP reviewed licensing inspection data for these requirements, gathered during renewal inspections and partial inspections completed in FY20-21. A renewal inspection is one where a licensee’s compliance with all regulations is measured to determine whether the licensee should remain licensed. A partial inspection is one where a licensee’s compliance with a subset of regulations is measured in response to a complaint, reported incident, or as part of a monitoring schedule (licensees who are on a provisional license or who are operating pending appeal are subject to regular unannounced monitoring inspections).

It should be noted that Chapter 2380 and Chapter 2390 licensees operate distinct facilities, with one license issued to each facility. Inspection findings are for each distinct facility. In this document, “inspection” of a Chapter 2380 or Chapter 2390 facility means that it is an inspection of a distinct facility, or a single home, as part of a Renewal or Partial Inspection, depending on the chapter of regulations for which data is being presented.

Conversely, Chapter 6400 and Chapter 6500 licenses allow for multiple homes to be covered by a single license, issued to an agency that is responsible for the operation of each home. Each home is counted as an “inspection” in ODP’s data. Renewal and Partial Licensing Inspections consist of multiple inspections, since multiple homes operated by the agency, under one license, are inspected.

To determine whether a home or facility was compliant with each regulatory requirement, ODP's regulatory professionals visually confirmed compliance (when applicable) and reviewed policies, procedures, training records, service notes, progress notes and other relevant documentation maintained by the provider. Regulatory professionals also interview staff that work in the home or facility, as well as individuals who receive services in the home or facility. Licensees must develop and implement a plan to correct any identified violations, after which the regulatory professionals will verify that the plan was implemented, and compliance has been achieved. If the plan was not implemented and the home or facility remains noncompliant, they will be issued a short-term, provisional "warning" license, or the license to operate may be revoked.

B. SUMMARY OF FINDINGS & RESULTS

Figure 2 shows the total number of inspections completed overall in FY20-21, by setting type, and includes the numbers and percentages where **no HCBS Settings Rule violations were identified**.

Setting Type	# of <i>*inspections</i> completed	# / % of <i>inspections</i> where Zero HCBS violations were found	# of <i>facilities or homes</i> inspected	# / % of <i>facilities or homes</i> where Zero HCBS violations were found
Adult Training Facilities (Chapter 2380)	167	136 / 81%	153	122 / 80%
Vocational Facilities (Chapter 2390)	42	38 / 91%	37	33 / 89%
Community Homes (Chapter 6400)	3,004	2,604 / 87%	2,844	2,458 / 86%
Life Sharing Homes (Chapter 6500)	583	531 / 91%	568	516 / 90%

Figure 1: Total Number/Percent of Inspections of Facilities/Homes with No HCBS Violations

Figure 3 shows the total number of inspections completed overall in FY20-21, by setting type, and includes the numbers where **at least one HCBS Settings Rule violation was identified**.

Setting Type	Number of <i>*inspections</i> completed	Number of <i>inspections</i> where at least one HCBS violation was found	Number of <i>facilities or homes</i> inspected	Number of <i>facilities or homes</i> where at least one HCBS violation was found
Adult Training Facilities (Chapter 2380)	167	31	153	31
Vocational Facilities (Chapter 2390)	42	4	37	4
Total Facilities	209	35	190	35
Community Homes (Chapter 6400)	3,004	400	2,844	386
Life Sharing Homes (Chapter 6500)	583	52	568	52
Total Homes	3,587	452	3,412	438

Figure 2: Total Number/Percent of Inspections of Facilities/Homes with at Least 1 HCBS Violation

**Number of inspections completed exceeds the number of facilities/homes inspected, usually because of partial inspections conducted, in addition to a renewal inspection, during the year. Additionally, facilities/homes on a provisional license are subject to at least two renewal inspections during the year, as provisional licenses do not exceed six months.*

HCBS settings results from licensing inspections for Fiscal Year 2020-2021 reveal that individual rights, specifically the licensee’s responsibility to inform of and explain individual rights, was the top area of noncompliance, across all licensed settings. In response, ODP plans to develop training to address this and to determine whether additional clarification should be added to Regulatory Compliance Guides. As part of ODP’s commitment to ensuring compliance with the HCBS Settings Rule, an annual report containing results from licensing inspections will be released.

ADULT TRAINING FACILITIES LICENSING INSPECTION RESULTS (LICENSED UNDER CHAPTER 2380)

Figure 4 contains a summary of the numbers of noncompliant licensing inspection results for FY20-21, for Adult Training Facilities, specific to HCBS Settings Rule requirements outlined [here](#), and referred to in the chart as violations. Of the 153 adult training facilities inspected, 122 (79.7%) had no violations and 31 (20.3%) had 1 or 2 violations.

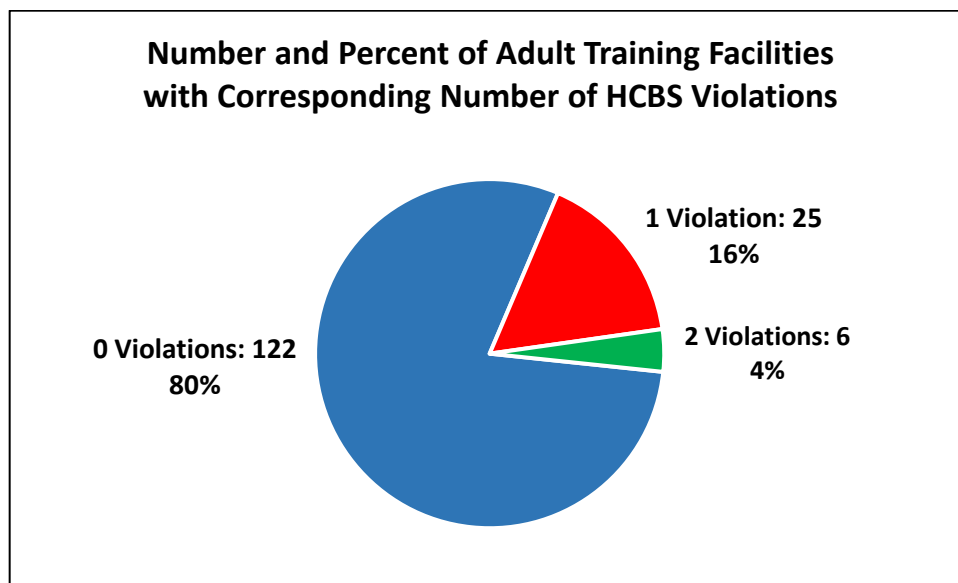


Figure 3: Number/Percent of Chapter 2380 Licensed Facilities Cited with Corresponding Number of HCBS Violations

Figure 5 contains the number of licensing inspections and facilities where a violation was cited for the corresponding regulation. Note that all violations were corrected to be 100% compliant.

Chapter 2380 Regulation	Number of <i>Inspections</i> Where Violation Cited/ <i>Facilities</i> Where Cited
2380.21(u) The facility shall inform and explain individual rights and the process to report a rights violation to the individual, and persons designated by the individual, upon admission to the facility and annually thereafter.	26 / 25
2380.21(v) The facility shall keep a copy of the statement signed by the individual or the individual’s court-appointed legal guardian, acknowledging receipt of the information on individual rights.	5 / 5
2380.37(a) Records of orientation and training, including the training source, content, dates, length of training, copies of certificates received and persons attending, shall be kept.	1 / 1
2380.21(k) An individual shall be treated with dignity and respect.	1 / 1
2380.21(j) An individual may not be abused, neglected, mistreated, exploited, abandoned, or subjected to corporal punishment.	1 / 1
2380.21(b) The facility shall educate, assist, and provide the accommodation necessary for the individual to understand the individual’s rights.	1 / 1
2380.155(a) For each individual for whom a restrictive procedure may be used, the individual plan shall include a component addressing behavior support that is reviewed and approved by the human rights team in §2380.154 (relating to human rights team), prior to use of a restrictive procedure.	1 / 1
2380.176(a) Individual records shall be kept locked when they are unattended.	1 / 1

Figure 4: Number of Chapter 2380 Licensed Facilities Inspected/Cited by Regulation

VOCATIONAL FACILITIES LICENSING INSPECTION RESULTS (LICENSED UNDER CHAPTER 2390)

Figure 6 contains a summary of the numbers of noncompliant licensing inspection results for FY20-21, for Vocational Facilities, specific to HCBS requirements outlined [here](#), and referred to in the chart as violations. Of the 37 vocational facilities inspected, 33 (89.2%) had no violations and 4 (10.8%) had 1 violation.

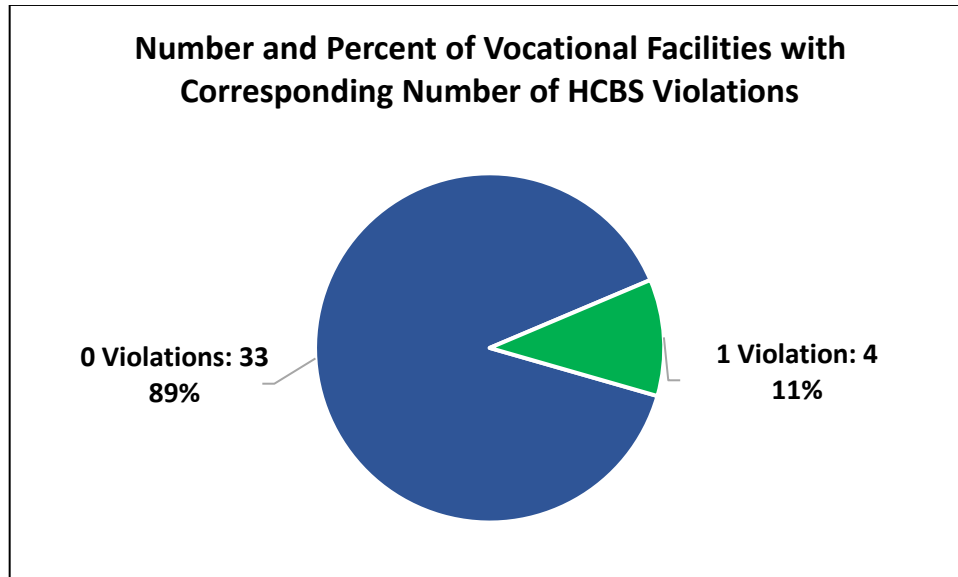


Figure 5: Number/Percent of Chapter 2390 Licensed Facilities with Corresponding Number of HCBS Violations

Figure 7 contains the number of licensing inspections and Vocational Facilities where a violation was cited for the corresponding regulation. Note that all violations were corrected to be 100% compliant.

Chapter 2390 Regulation	Number of Inspections Where Cited / Facilities Where Cited
2390.21(u) The facility shall inform and explain individual rights and the process to report a rights violation to the individual, and persons designated by the individual, upon admission to the facility and annually thereafter.	4 / 4

Figure 6: Number of Chapter 2390 Licensing Inspections/Facilities Cited with Accompanying Regulation Details

COMMUNITY HOMES LICENSING INSPECTION RESULTS (LICENSED UNDER CHAPTER 6400)

Figure 8 contains a summary of the numbers of noncompliant licensing inspection results for FY20-21, for Community Homes, specific to HCBS requirements outlined [here](#), and referred to in the chart as violations. Of the 2,844 homes inspected, 2,458 (86.4%) had no violations and 304 (10.7%) had just 1 violation. The remaining homes had 2 or more violations.

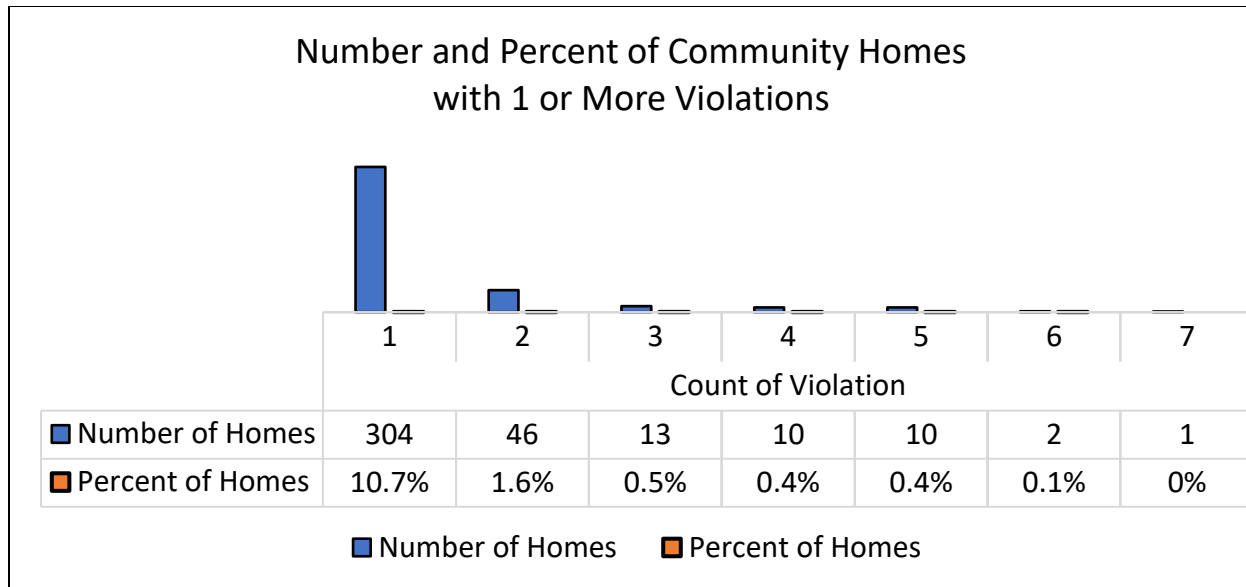


Figure 7: Number/Percent of Chapter 6400 Licensed Homes Inspected/Cited with Corresponding Number of HCBS Violations

Figure 9 contains the number of licensing inspections and Community Homes where a violation was cited for the corresponding regulation. Note that all violations were corrected to be 100% compliant, except for those listed in *Figure 9*.

Chapter 6400 Regulation	Number of Inspections/Homes Where Cited ¹
6400.34(a) The home shall inform and explain individual rights and the process to report a rights violation to the individual, and persons designated by the individual, upon admission to the home and annually thereafter.	181 / 179
6400.32(d) An individual shall be treated with dignity and respect.	54 / 52
6400.32(r) An individual has the right to lock the individual's bedroom door.	53 / 53
6400.32(c) An individual may not be abused, neglected, mistreated, exploited, abandoned, or subjected to corporal punishment.	25 / 25

¹ Differences between inspection and home numbers are because one or more homes were inspected more than once and the same HCBS Final Rule Violation was found on different inspections.

<p style="text-align: center;">Chapter 6400 Regulation <i>(Continued from Previous Page)</i></p>	<p style="text-align: center;">Number of Inspections Where Cited / Homes Where Cited</p>
<p>6400.22(d)(1) Financial record did not include: Personal possessions and funds received by or deposited with the home.</p>	<p style="text-align: center;">23 / 19</p>
<p>6400.22(c) Individual funds and property shall be used for the individual's benefit.</p>	<p style="text-align: center;">21 / 20</p>
<p>6400.22(d)(2) Financial record did not include: Disbursements made to or for the individual.</p>	<p style="text-align: center;">19 / 19</p>
<p>6400.22(e)(3) Financial record did not include: Documentation, by actual receipt or expense record, of each single purchase exceeding \$15 made on behalf of the individual carried out by or in conjunction with a staff person.</p>	<p style="text-align: center;">15 / 14</p>
<p>6400.31(b) The home shall educate, assist, and provide the accommodation necessary for the individual to make choices and understand the individual's rights.</p>	<p style="text-align: center;">15 / 14</p>
<p>6400.207(4)(l) Chemical restraint use.</p>	<p style="text-align: center;">11 / 11</p>
<p>6400.22(e)(1) Financial record did not include: A separate record of financial resources, including the dates and amounts of deposits and withdrawals.</p>	<p style="text-align: center;">11 / 11</p>
<p>6400.32(r)(5) Direct service workers who provide services to the individual shall have the key or entry device to lock and unlock the door.</p>	<p style="text-align: center;">11 / 11</p>
<p>6400.32(s) An individual has the right to have a key, access card, keypad code or other entry mechanism to lock and unlock an entrance door of the home.</p>	<p style="text-align: center;">9 / 9</p>
<p>6400.32(h) An individual has the right to privacy of person and possessions.</p>	<p style="text-align: center;">8 / 8</p>
<p>6400.32(i) An individual has the right of access to and security of the individual's possessions.</p>	<p style="text-align: center;">8 / 8</p>
<p>6400.34(b) The home shall keep a copy of the statement signed by the individual, or the individual's court-appointed legal guardian, acknowledging receipt of the information on individual rights.</p>	<p style="text-align: center;">8 / 8</p>
<p>6400.22(f) There may be no commingling of the individual's personal funds with the home or staff person's funds.</p>	<p style="text-align: center;">7 / 7</p>
<p>6400.32(o) An individual has the right to manage and access the individual's finances.</p>	<p style="text-align: center;">7 / 7</p>
<p>6400.32(e) An individual has the right to make choices and accept risks.</p>	<p style="text-align: center;">6 / 6</p>
<p>6400.32(r)(1) Locking may be provided by a key, access card, keypad code or other entry mechanism accessible to the individual to permit the individual to lock and unlock the door.</p>	<p style="text-align: center;">6 / 6</p>
<p>6400.61(a) A home serving individuals with a physical disability, blindness, a visual impairment, deafness or a hearing impairment shall have accommodations to ensure the safety and reasonable accessibility for entrance to, movement within and exit from the home based upon each individual's needs.</p>	<p style="text-align: center;">6 / 6</p>
<p>6400.32(t) An individual has the right to access food at any time.</p>	<p style="text-align: center;">5 / 5</p>
<p>6400.195(a) For each individual for whom a restrictive procedure may be used, the individual plan shall include a component addressing behavior support that is reviewed and approved by the human rights team in § 6400.194 (relating to human rights team), prior to use of a restrictive procedure.</p>	<p style="text-align: center;">4 / 4</p>
<p>6400.32(u) An individual has the right to make health care decisions.</p>	<p style="text-align: center;">4 / 4</p>
<p>6400.188(a) The home shall provide services, including assistance, training and support for the acquisition, maintenance or improvement of functional skills, personal needs, communication, and personal adjustment.</p>	<p style="text-align: center;">2 / 2</p>

<p style="text-align: center;">Chapter 6400 Regulation <i>(Continued from Previous Page)</i></p>	<p style="text-align: center;">Number of Inspections Where Cited / Homes Where Cited</p>
<p>6400.195(b) The behavior support component of the individual plan shall be reviewed and revised as necessary by the human rights team, according to the time frame established by the team, not to exceed 6 months between reviews.</p>	<p style="text-align: center;">2 / 2</p>
<p>6400.195(c)(4) The behavior support component of the individual plan shall include: A target date to achieve the outcome.</p>	<p style="text-align: center;">2 / 2</p>
<p>6400.207(5)(i) The following procedures are prohibited: A mechanical restraint, defined as a device that restricts the movement or function of an individual or portion of an individual’s body. A mechanical restraint includes a geriatric chair, a bedrail that restricts the movement or function of the individual, handcuffs, anklets, wristlets, camisole, helmet with fasteners, muffs and mitts with fasteners, restraint vest, waist strap, head strap, restraint board, restraining sheet, chest restraint and other similar devices. A mechanical restraint does not include the use of a seat belt during movement or transportation. A mechanical restraint does not include a device prescribed by a health care practitioner for the following use or event: (i) Post-surgical or wound care.</p>	<p style="text-align: center;">2 / 2</p>
<p>6400.31(a) An individual may not be deprived of rights as provided under §6400.32 (relating to rights of the individual).</p>	<p style="text-align: center;">2 / 2</p>
<p>6400.32(r)(4) The locking mechanism shall allow easy and immediate access by the individual and staff persons in the event of an emergency.</p>	<p style="text-align: center;">2 / 2</p>
<p>6400.32(s)(2) The locking mechanism shall allow easy and immediate access by the individual and staff persons in the event of an emergency.</p>	<p style="text-align: center;">2 / 2</p>
<p>6400.196 No Behavioral Support Plan (BSP) training.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.195(c)(5) BSP Includes: Methods for facilitating positive behaviors such as changes in the individual’s physical and social environment, changes in the individual’s routine, improving communications, recognizing, and treating physical and behavior health conditions, voluntary physical exercise, redirection, praise, modeling, conflict resolution, de-escalation, and teaching skills.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.195(c)(8) BSP Includes: The name of the staff person responsible for monitoring and documenting progress with the behavior support component of the individual plan.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.207(4)(IV) Chemical restraint use.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.207(5)(II) Chemical restraint use.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.22(a) There shall be a written policy that establishes procedures for the protection and adequate accounting of individual funds and property and for counseling the individual concerning the use of funds and property.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.22(e)(2) Financial record did not include: For a withdrawal when the individual is given the money directly, the record shall indicate that funds were given directly to the individual.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.32(k) An individual has the right to participate in the development and implementation of the individual plan.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.32(n) An individual has the right to unrestricted and private access to telecommunications.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.32(p) An individual has the right to choose persons with whom to share a bedroom.</p>	<p style="text-align: center;">1 / 1</p>
<p>6400.32(r)(2) Access to an individual’s bedroom shall be provided only in a life-safety emergency or with the express permission of the individual for each incidence of access.</p>	<p style="text-align: center;">1 / 1</p>

Chapter 6400 Regulation (Continued from Previous Page)	Number of Inspections Where Cited / Homes Where Cited
6400.32(v) An individual's rights may only be modified in accordance with § 6400.185 (relating to content of the individual plan) to the extent necessary to mitigate a significant health and safety risk to the individual or others.	1 / 1
6400.61(b) A home serving individuals with a physical disability, blindness, a visual impairment, deafness, or a hearing impairment shall have adaptive equipment necessary for the individuals to move about and function at the home.	1 / 1

Figure 8: Number of Chapter 6400 Licensed Homes Inspected/Cited with Accompanying Regulation Details

Enforcement Actions for Failure to Correct Violations

Figure 10 represents 8 distinct Community Home providers, cited for at least 1 violation, that did not correct the violation(s). Of the 8 providers, 7 were issued a provisional license and 1 had their license revoked.

Chapter 6400 Regulation	Number of Providers That Failed to correct Violation of Corresponding Regulation	Enforcement Action Taken
6400.34(a)	4	3 Provisional licenses issued; 1 under review as of this publication
6400.32(c)	1	Provisional license issued
6400.22(d)(1)	1	License revoked
6400.22(d)(2)	1	Provisional license issued
6400.22(e)(3)	1	Provisional license issued
6400.34(b)	1	Provisional license issued
6400.207(5)(II)	1	Provisional license issued

Figure 9: Number of Chapter 6400 Licensed Homes Cited for Failure to Correct Identified Violations

LIFE SHARING HOMES LICENSING INSPECTION RESULTS (LICENSED UNDER CHAPTER 6500)

Figure 11 contains a summary of the numbers of noncompliant licensing inspection results for FY 20-21, for Life Sharing Homes, specific to HCBS requirements outlined [here](#), and referred to in the chart as violations. Of the 568 homes inspected, 516 (90.8%) had no violations and 30 (5.3%) had just 1 violation. The remaining homes had 2 or more violations.

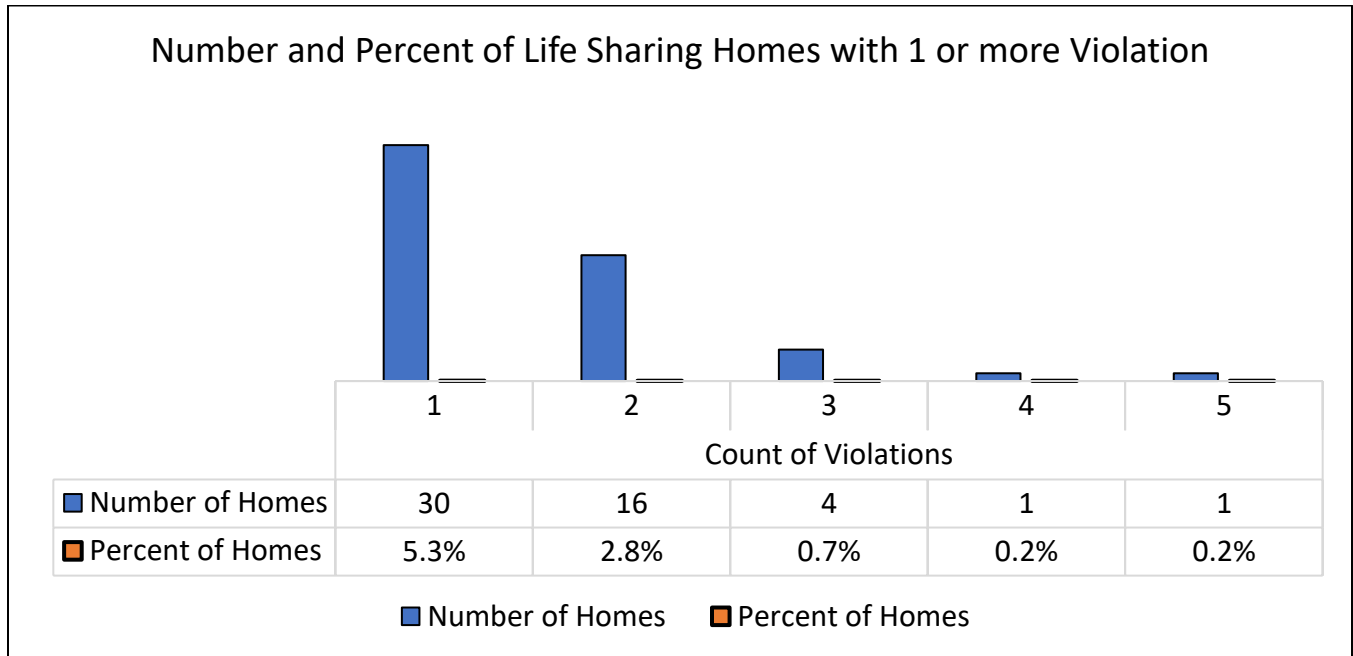


Figure 10: Number/Percent of Chapter 6500 Licensed Homes with 1 or More Violations

Figure 12 contains the number of licensing inspections and Life Sharing homes where a violation was cited for the corresponding regulation. Note that all violations were corrected to be 100% compliant, except for those listed in Figure 12.

Chapter 6500 Regulation	Number of Homes / Inspections Where Cited²
6500.34(a) Individual rights and the process to report a rights violation shall be explained to the individual, and persons designated by the individual, prior to moving into the home and annually thereafter.	26
6500.32(r)(1) Locking may be provided by a key, access card, keypad code or other entry mechanism accessible to the individual to permit the individual to lock and unlock the door.	21
6500.24(c) An individual's funds and property shall be used for the individual's benefit.	9

² Differences between inspection and home numbers are because one or more homes were inspected more than once and the same HCBS Final Rule Violation was found on different inspections.

Chapter 6500 Regulation (Continued from Previous Page)	Number of Homes / Inspections Where Cited
6500.24(e)(3) Financial record did not include: Documentation, by actual receipt or expense record, of each single purchase exceeding \$15 made on behalf of the individual carried out by family members or agency staff.	4
6500.24(f) There may not be commingling of the individual's personal funds with agency or household funds.	4
6500.24(d)(1) Financial record did not include: Personal possessions and funds received by or deposited with the family or agency.	3
6500.24(e)(1) Financial record did not include: A separate record of financial resources including the dates and amounts of deposits and withdrawals.	3
6500.34(b) A copy of the statement signed by the individual, or the individual's court-appointed legal guardian, acknowledging receipt of the information on individual rights shall be kept.	3
6500.32(r)(5) The primary caregiver shall have the key or entry device to lock and unlock the door.	2
6500.177(4)(i) Chemical restraint use	1
6500.24(a) There shall be a written policy that establishes procedures for the protection and adequate accounting of individual funds and property and for advising the individual concerning the use of funds and property.	1
6500.24(d)(2) Financial record did not include: Disbursements made to or for the individual.	1
6500.24(e)(2) Financial record did not include: For a withdrawal when the individual is given the money directly, the record shall indicate that funds were given directly to the individual.	1
6500.32(c) An individual may not be abused, neglected, mistreated, exploited, abandoned, or subjected to corporal punishment.	1
6500.32(d) An individual shall be treated with dignity and respect.	1
6500.32(s) An individual has the right to have a key, access card, keypad code or other entry mechanism to lock and unlock an entrance door of the home.	1
6500.32(v) An individual's rights may only be modified in accordance with § 6500.155 (relating to content of the individual plan) to the extent necessary to mitigate a significant health and safety risk to the individual or others.	1

Figure 11: Number of 6500 Homes Inspected/Cited with Accompanying Regulation Details

Enforcement Actions for Failure to Correct Violations

Figure 13 represents 1 distinct Life Sharing provider that was cited for all 3 violations and did not correct the violations.

Chapter 6500 Regulation	Number of Provider Homes That Failed to correct Violation of Corresponding Regulation	Enforcement Action Taken
6500.32(r)(1)	1	Provisional license issued
6500.24(e)(3)	1	Provisional license issued
6500.24(d)(1)	1	Provisional license issued

Figure 12: Number of Chapter 6500 Licensed Homes Cited for Failure to Correct Identified Violations