

COMMONWEALTH OF PENNSYLVANIA DEPARTMENT OF STATE BEFORE THE SECRETARY OF THE COMMONWEALTH

Commonwealth of Pennsylvania Bureau of Corporations and Charitable Organizations

v.

Case No.: 22-98-012687

People for People, Inc., Respondent

CONSENT AGREEMENT AND ORDER

The Commonwealth of Pennsylvania, Bureau of Corporations and Charitable Organizations ("Bureau"), by and through its undersigned prosecuting attorney, Trista M. Boyd, and **People for People, Inc.**, ("Respondent"), stipulate as follows in settlement of the abovecaptioned matter:

JURISDICTION

 This matter is before the Secretary of the Commonwealth ("Secretary") pursuant to the Solicitation of Funds for Charitable Purposes Act, Act of December 19, 1990, P.L. 1200, No.
202, as amended ("Act"), 10 P.S. §§ 162.1 et. seq.

2. At all relevant and material times, Respondent acted as a charitable organization as defined by the Act.

STIPULATED FACTS

Respondent's address on file with the Bureau is: 800 North Broad Street, Suite 700,
Philadelphia, PA 19130.

4. Respondent operated as an unregistered charitable organization in Pennsylvania from May 16, 2016 through July 12, 2023.

5. During the unregistered period noted above, Respondent engaged in charitable activities in Pennsylvania, even though Respondent was not exempt from registration under 10 P.S. § 162.6(a)(8) since Respondent received contributions in excess of \$25,000.00 per annum.

6. Respondent is currently registered as a charitable organization with the Bureau and has been issued Certificate of Registration No.: 14732.

MITIGATION

7. Respondent avers the following in mitigation of any sanction, which the Commonwealth neither admits nor denies:

a. Respondent unknowingly and unintentionally operated as an unregistered charitable organization in Pennsylvania.

b. Respondent has taken measures to ensure that it remains in good standing with the Bureau for future filings.

AGREED VIOLATIONS

8. Respondent admits and agrees that by engaging in the aforementioned conduct, Respondent committed a violation of the Act as follows:

a. Section 15(a)(1) of the Act, 10 P.S. § 162.15(a)(1), by and through

10 P.S. § 162.5(a), by soliciting contributions without the approved registration

filed with the Bureau.

PROPOSED ORDER

9. The parties, intending to be legally bound, consent to issuance of the following Order in settlement of this matter:

a. Respondent has violated Section 15(a)(1) of the Act, 10 P.S. § 162.15(a)(1), by and through 10 P.S. § 162.5(a), by soliciting contributions without the approved registration filed with the Bureau.

ADMINISTRATIVE PENALTIES

b. An ADMINISTRATIVE FINE of Nine Thousand, Five Hundred and Fifty Dollars (\$9,550.00) is levied upon Respondent. Respondent shall tender the full sum of Nine Thousand, Five Hundred and Fifty Dollars (\$9,550.00), with this executed Consent Agreement. Payment shall be by <u>certified check</u>, <u>cashier's check</u>, <u>attorney's check</u>, <u>or U.S. Postal money order</u>. The instrument of payment shall be made payable to the "Commonwealth of Pennsylvania," and shall be valid for a period of at least one hundred eighty (180) days. Respondent agrees that payment will be made by one of the methods indicated in herein and that payment by uncertified personal check, corporate check or cash will not be accepted.

CASE SETTLED AND DISCONTINUED

10. This case shall be deemed settled and discontinued upon the Secretary issuing an Order adopting this Consent Agreement and the Respondent's successful completion of the requirements of this Order. However, nothing in this Consent Agreement and Order shall preclude the Prosecuting Attorney for the Bureau from filing charges or the Secretary from imposing disciplinary or corrective measures for violations or facts not contained in this Consent Agreement and Order.

ACKNOWLEDGMENT OF RIGHT TO ATTORNEY

11. Respondent acknowledges that Respondent is aware that Respondent has the right to consult with, and/or be represented by, private legal counsel of Respondent's choosing and at

Respondent's expense when reviewing, considering, and accepting the terms of this Consent Agreement. To the extent that Respondent is not represented by legal counsel, Respondent knowingly, voluntarily, intelligently, and freely waives the right to be represented by an attorney in the negotiation and settlement of this matter by this Agreement.

ACKNOWLEDGEMENT OF NOTICE AND WAIVER OF HEARING

12. Respondent waives the filing of an Order to Show Cause in this matter. Respondent knowingly and voluntarily waives the right to an administrative hearing in this matter, and to the following rights related to the hearing: to be represented by counsel at the hearing; to present witnesses and testimony in defense or in mitigation of any sanction that may be imposed for a violation; to cross-examine witnesses and to challenge evidence presented by the Bureau; to present legal arguments by means of a brief; and to take an appeal from any final adverse decision.

AGREEMENT NOT BINDING ON OTHER PARTIES

13. This Consent Agreement is between the Bureau and Respondent only. It does not bind any other administrative or governmental entity of the Commonwealth of Pennsylvania, including any other bureau within the Department of State. Except as otherwise noted, this Agreement is to have no legal effect if (a) the Office of General Counsel expresses an objection to the Agreement's form or legality and/or (b) unless and until the Secretary issues an Order approving and adopting this Consent Agreement.

EFFECT OF SECRETARY'S REJECTION

14. Should the Secretary not approve this Consent Agreement, presentation to and consideration of this Consent Agreement and other documents and matters by the Secretary shall not prejudice the Secretary from further participation in the adjudication of this matter. This

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paragraph is binding on the participants even if the Secretary does not approve this Consent Agreement.

ENTIRE AGREEMENT

15. This Agreement contains the whole agreement between the parties; provided, however, that the captions printed in the various provisions of this agreement are for ease of reading only and are not to be interpreted as forming any part of this agreement. There are no other terms, obligations, covenants, representations, statements or conditions, or otherwise, of any kind whatsoever, concerning this Agreement.

AGREEMENT DOES NOT PREVENT REFERRAL TO OTHER AGENCIES

16. The parties acknowledge that other federal, state, and/or local agencies may have jurisdiction over the activities of, or representations made by, Respondent and its officers, directors, agents, employees, or independent contractors. Nothing in this Consent Agreement or the Order based upon this Consent Agreement shall preclude representatives of the Bureau from referring any information or data produced as a result of this matter to any federal, state, or local agency or governmental unit having jurisdiction over the activities of Respondent or any officer, director, agent, employee or independent contractor of the Respondent.

AUTHORITY TO SIGN

17. The individual signing below on behalf of Respondent hereby represents and warrants that the individual is signing with full and complete authority to bind Respondent to each and every term of this Agreement.

VERIFICATION OF FACTS AND STATEMENTS

18. Respondent verifies that the facts and statements set forth in this Agreement are true and correct to the best of Respondent's knowledge, information, and belief. Respondent

understands that statements in this Agreement are made subject to the criminal penalties of 18 Pa.C.S. § 4904 relating to unsworn falsification to authorities.

Trista M. Boyd

Prosecuting Attorney Department of State Commonwealth of Pennsylvania

People for People, Inc.

Respondent

DATE: 8/29/24

By: <u>Robert T McFadden</u> Print

Title: $\frac{C \in O}{Print}$

DATE: 08/29/24

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IN THE MATTER OF

People for People, Inc. Case No.: 22-98-012687

ORDER

AND NOW, this 6 day of 2024, the foregoing Consent Agreement is hereby approved, and the terms set forth therein are hereby adopted and incorporated herein as the Order of the Secretary of the Commonwealth, now issued in resolution of this matter.

THIS ORDER shall take effect immediately.

BY ORDER:

Al Schmidt Secretary of the Commonwealth

For the Commonwealth:

Respondent:

Trista M. Boyd, Esquire P. O. Box 69521 Harrisburg, PA 17106-9521

People for People, Inc. 800 North Broad Street, Suite 700 Philadelphia, PA 19130