

| Date:                | January 17, 2014                          |
|----------------------|-------------------------------------------|
| Subject:             | Revisions and Corrections                 |
| Solicitation Number: | 3513R08                                   |
| Opening Date/Time:   | No later than 1:00 pm on January 31, 2014 |
| Addendum Number:     | 9                                         |

### To All Proposers:

The Commonwealth of Pennsylvania defines a solicitation "Addendum" as an addition to or amendment of the original terms, conditions, specifications, or instructions of a procurement solicitation (e.g., Invitation for Bids or Request for Proposals), including but not limited to questions and answers, which are considered a material part of the solicitation.

#### Please see the following update:

### Addendum 9 does the following:

- 1. All references in the RFQ to the SOQ Due Date of January 31, shall now read: "February 7, 2014, 1:00 p.m. eastern time."
- 2. All references in the RFQ to the deadline for questions regarding the RFQ of January 10, shall now read: "January 24, 2014, 1:00 p.m. eastern time."
- 3. The first paragraph of Section 6.5, Prequalification for Lead Contractor(s) on page 20 shall now read in pertinent part, as indicated by the shaded language: "Subject to the following, the Project is being procured in accordance with the requirements of 67 Pa.Code CH. 457. A Proposer will only be capable of being selected as a Short-listed Proposer to the extent that each Lead Contractor in its Proposer team is prequalified, pursuant to 67 Pa. Code CH. 457, to perform structure "S" and "T" work types or as a "general highway contractor" with "unlimited financial capacity" at the time proposals are due."
- 4. Part B, Appendix 2, Section 1 on page 33 shall now read in pertinent part, as indicated by the shaded language: "With reference to no more than 15 projects (each of which must have commenced or completed construction during the past eight years in the aggregate (providing the dates on which such construction either commenced or was completed)), demonstrate the collective experience of the Proposer, each Equity Member, each Major Non-Equity Member and any Proposed Guarantor, as applicable, with respect to:"
- 5. Appendix 3, Section 3 Approach to Financing, Part (a), Page 35 shall now read in pertinent part, as indicated by the shaded language: "A letter from the Equity Member's CFO or Chief Investment Officer (or, if neither of these positions exist for an entity, an individual who serves in an equivalent capacity and whose title shall be specified in the certification) indicating the extent to which the Equity Member has the funds to meet the development costs and equity investment"



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Appendix 4, Section 3 Off-Balance Sheet Liabilities, Page 40 shall now read, in pertinent part: "A letter from the chief financial officer or treasurer (or, if neither of these positions exist for an entity, an individual who serves in an equivalent capacity and whose title shall be specified in the certification) of the entity or the certified public accountant for each entity for which financial information is submitted, identifying all off balance sheet liabilities in excess of \$25,000,000 in the aggregate."

Appendix 4, Section 4 Financial Qualifications – Summary Financial Information – Form F, page 40 shall now read: "Form F shall be certified by the chief executive officer, chief financial officer or treasurer (or, if neither of these positions exist for an entity, an individual who serves in an equivalent capacity and whose title shall be specified in the certification) of the entity providing the information."

# 6. Part A, Section 1 shall now read in pertinent part, as indicated by the shaded language:

"Lead Contractor" means the entity primarily responsible for the design, construction and engineering elements of the Project that will act as the prime subcontractor to the Development Entity, provided that if the Lead Contractor is a consortium, partnership or other form of joint venture, each member of the Lead Contractor that is performing construction activities shall be a prequalified contractor that is capable of performing at least 50% of the original contract price of the participant's portion of the joint venture, as required by 67 Pa. Code § 457.15 (c). If a member of such consortium, partnership or other form of joint venture is performing non-construction activities such as design or engineering, there is no prequalification requirement.

## 7. Part A, Section 6.5 shall now read in pertinent part, as indicated by the shaded language:

Subject to the following, the Project is being procured in accordance with the requirements of 67 Pa. Code CH. 457. A Short-listed Proposer will only be capable of submitting a proposal to the extent that each Lead Contractor performing construction activities in its Proposer team is, at the time Proposals are due, prequalified pursuant to 67 Pa. Code CH 457 (a) (i) to perform structure "S" and "T" work types or (ii) as a "General Highway Contractor," and (b) has "unlimited capacity". To the extent that the Lead Contractor is a consortium, partnership or other form of joint venture, each member of the Lead Contractor performing construction activities shall be capable of performing at least 50% of the original contract price of the member's portion of the Lead Contractor.

Please note that a subsequent Addendum shall be issued setting forth the final version of the Request for Qualifications in this procurement, in the form of a redline marked against the original Request for Qualifications, incorporating cumulatively all changes previously made by Addenda to such Request for Qualifications and any further clarifying revisions required.



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Except as clarified and amended by this Addendum, the terms, conditions, specifications, and instructions of the solicitation and any previous Addendum(s), remain as originally written.

Kind regards,

Bryan A. Kendro, Director

Office of Policy & Public Private Partnerships

PA Department of Transportation

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