# COMMONWEALTH OF PENNSYLVANIA GOVERNOR'S OFFICE PENNSYLVANIA HUMAN RELATIONS COMMISSION

**IN THE MATTER OF:** Standing Practice Order

Public Hearings Under the PHRA, 43 P.S. §§ 951-963 Order No. SPO-Rev-3 Effective Date 01/15/2025

#### STANDING PRACTICE ORDER

**AND NOW,** this 15th day of January 2025, pursuant to 16 Pa. Code § 42.111 and 1 Pa. Code § 35.187, it is hereby **ORDERED** that all parties to a public hearing under the Pennsylvania Human Relations Act, 43 P.S. §§ 951-963, shall conform to the hearing procedures specified within the Standing Practice Order as made available to the public on the Commission's website at <a href="www.phrc.pa.gov">www.phrc.pa.gov</a>. Requests for a copy by mail can be made to <a href="raily:rai

#### PENNSYLVANIA HUMAN RELATIONS COMMISSION

By: Darlene Martin Examiner

# COMMONWEALTH OF PENNSYLVANIA GOVERNOR'S OFFICE PENNSYLVANIA HUMAN RELATIONS COMMISSION

**IN THE MATTER OF:** Standing Practice Order

Public Hearings Under the PHRA, 43 P.S. §§

951-963 Order No. SPO-Rev-3 Effective 01/15/2025

#### **RULE 1: Title and Citation of Rules**

All rules contained herein shall be known and cited as SPO Rule\_\_\_\_.

## **RULE 2: Jurisdiction and Confidentiality**

This SPO shall supplement hearing procedures specified at 1 Pa. Code Chapters 31, 33, 35 and 16 Pa. Code Chapter 42.

The parties are instructed to adhere to all relevant and applicable federal and state statutes and regulations regarding confidentiality. All filings containing confidential information as described by Appendix D of this document must include a Confidential Information Sheet as a cover sheet.

#### **RULE 3: Scope and Interpretation**

- (a) This SPO applies to all matters that have been approved for a public hearing pursuant to 43 P.S. § 959(d) and 16 Pa. Code § 42.101 unless otherwise directed by the Presiding Officer.
- (b) The rules shall be liberally construed to secure the just, speedy, and inexpensive determination of every action or proceeding to which they are applicable. The Presiding Officer at every stage of any such action or proceeding may disregard any error or defect of procedure which does not affect the substantial rights of the parties.

#### **RULE 4: Accommodations**

If any party or witness needs an accommodation, the affected party must notify the Presiding Officer as soon as possible so necessary arrangements may be made. Members of the public who need accommodations to attend public hearings shall

## **RULE 5: Filing and Service of Documents**

(a) After parties receive notice that the matter has been approved for a public hearing, pursuant to 43 P.S. § 959(d) and 16 Pa. Code § 42.101, all correspondence, pleadings, briefs, orders, and other papers relating to the case shall be filed with the Office of the Hearing Examiner. Counsel shall enter their appearance pursuant to 16 Pa. 42.106 using the forms included in <a href="mailto:Appendix A">Appendix A</a>. Filings can be emailed to the Commission's adjudication account: <a href="mailto:ra-hradjudication@pa.gov">ra-hradjudication@pa.gov</a>. Instructions for emailing documents for filing are attached as <a href="mailto:Appendix B">Appendix B</a>. Filings can also be mailed to:

Pennsylvania Human Relations Commission Office of the Hearing Examiner 333 Market Street, 8th Floor Harrisburg, PA 17101

- (b) Parties shall serve a copy of all filings on all parties of record. See 1 Pa. Code § 33.32; 16 Pa. Code § 42.34. A certificate of service setting forth the date and method of service shall be included. Copying all parties of record on electronic correspondence ("CC") shall be an acceptable form of service.
- (c) Parties with a valid e-mail address listed on the **Request for Public Hearing Form** shall receive service of documents by e-mail, unless the party indicates otherwise. Consent to electronic service can also be made prior to or subsequent to the filing of the Pre-Hearing Conference Report, by either filing a Consent to Electronic Service form attached as part of <u>Appendix A</u> **or** in the Pre-Hearing Conference Report, referenced in SPO Rule 8. Where any party has not elected electronic service, service of all documents shall be accomplished by mailing a paper copy, properly addressed with postage prepaid, in accordance with 1 Pa. Code § 33.31.

#### **Rule 6: Motions**

(a) All motions or petitions must be in writing. See 16 Pa. Code 42.34. In addition, they shall comply with the requirements outlined in <u>Appendix B</u>. Before filing a pre-hearing motion or petition, a party shall contact all other parties and ask whether they concur in the request contained within the motion. The other party's response or the movant's inability to contact the other party should be noted in a Statement of Concurrence or Non-Concurrence included with the motion. If a party is appearing without counsel, a statement regarding the opposing party's position need not be included with

the motion or petition.

- (b) All motions shall set forth the ruling or relief sought, state the grounds therefore, and identify the statutory or other authority relied upon. See 16 Pa. Code §42.34.
- (c) All motions or petitions shall be accompanied by a proposed order. See 16 Pa. Code § 42.34.
- (d) All motions and petitions shall be dated and contain page numbering.
- (e) Any party shall have fifteen (15) days¹ within which to respond to an opposed written motion unless another period of time is fixed. See 16 Pa. Code § 42.34. No additional filings shall be accepted unless deemed necessary or appropriate by the Presiding Officer.
- (f) All pre-hearing motions must be filed at least twenty (20) days before the scheduled public hearing date.
- (g) Motions made during a public hearing may be stated orally on the record. See 16 Pa. Code § 42.34. The Presiding Officer may direct a moving party to file briefing in support of an oral motion.

#### **Rule 7: Changes to Hearing Date**

- (a) A party shall request changes to a hearing date or time by filing a written motion, in accordance with SPO Rules 5 and 6, and demonstrating good cause for such request.
- (b) Requests for a continuance of the hearing date should be made as soon as the requesting party is aware that a reason for a continuance exists, but no later than ten (10) days prior to the scheduled hearing date, except in emergency circumstances.
- (c) Continuances are at the discretion of the Presiding Officer who may deny a request for continuance regardless of the concurrence of all parties.

#### **Rule 8: Pre-Hearing Conferences**

(a) After the parties receive notice that the matter has been approved for a public

<sup>&</sup>lt;sup>1</sup> All deadlines shall be calculated using calendar days unless otherwise specified.

hearing, pursuant to 43 P.S. § 959(d) and 16 Pa. Code § 42.101, the Presiding Officer shall schedule an initial pre-hearing conference.

- (b) The purpose of the initial pre-hearing conference is to facilitate the timely and effective disposition of the case. In preparation for the conference, each party shall complete and file a Pre-Hearing Conference Report at least seven days before the initial pre-hearing conference, which can be accessed electronically on the PHRC website. A hard copy of the Pre-Hearing Conference Report can be requested by email to ra-hradjudication@pa.gov or by telephone at (717) 214-0623.
- (c) Before the initial pre-hearing conference, each party shall also enter their appearance by filing an Entry of Appearance form, **in addition to** any forms required pursuant to 16 Pa Code §42.106.
- (d) After the initial pre-hearing conference, the Presiding Officer may hold additional pre-hearing conferences as the need arises, pursuant to 16 Pa Code § 42.75 and 1 Pa. Code §§ 35.112-35.116.
- (e) All pre-hearing conferences will be conducted in accordance with 16 Pa Code § 42.75 and 1 Pa. Code §§ 35.112-35.116.
- (f) After the initial pre-hearing conference, the Presiding Officer shall, through prehearing order, set the hearing date, time, and location. If the hearing is to be held by remote means, the Presiding Officer will notify the parties in the pre-hearing order and include instructions regarding how to participate and the method for sequestration of witnesses, if applicable. The Presiding Officer will also set the dates for discovery, including the date by which discovery must be completed.

#### Rule 9: Witness List

- (a) After the initial disclosure of witnesses required in the Pre-Hearing Conference Report, the parties shall provide updated witness lists to all other parties as they become aware of additional witnesses that may be called to testify.
- (b) Unless another period of time is fixed by the Presiding Officer, no later than fourteen (14) days prior to the scheduled public hearing, the parties shall file and provide a final witness list to all other parties.
- (c) The final witness list shall identify by name the witnesses the party expects to present and those it may call if the need arises.
- (d) Any expansion of the witness list after the expiration of the time period for filing the

final witness list shall be done by filing written application with good cause shown. Such request may be granted or denied at the discretion of the Presiding Officer.

#### Rule 10: Exhibit List

- (a) After the initial disclosure of exhibits required in the Pre-Hearing Conference Report, the parties shall provide updated exhibit lists to all other parties as they become aware of additional exhibits that may be introduced at the public hearing.
- (b) Unless another period of time is fixed by the Presiding Officer, no later than fourteen (14) days prior to the scheduled public hearing, the parties shall file and provide a final exhibit list to all other parties.
- (c) The final exhibit list shall identify each exhibit, pre-marked, and include whether the parties have stipulated to the authenticity or admissibility of the exhibit. The final exhibit list must also indicate whether any proposed exhibits contain confidential information as described in Appendix D. The parties may file a joint exhibit list.
- (d) Any expansion of the exhibit list after the expiration of the time period for filing the final exhibit list shall be done by filing a written application with good cause shown. Such request may be granted or denied at the discretion of the Presiding Officer.
- (e) All exhibits, other than pure impeachment exhibits must be pre-marked and submitted electronically in separate .pdf files to the Office of the Hearing Examiner seven (7) days before the Public Hearing. At the conclusion of a Public Hearing the parties must submit all exhibits admitted into evidence to the court reporter either in person or via email at exhibits@sargents.com.

#### Rule 11: Stipulations

The parties are encouraged to narrow the factual and legal matters in dispute. Unless another period of time is fixed by the Presiding Officer, no later than fourteen (14) days prior to the scheduled public hearing, the parties shall file final (1) stipulations of fact; (2) disputed issues of fact; (3) agreements regarding the governing legal principles; and (4) disputed governing legal principles. Upon their receipt, the stipulations of fact shall become part of the official docket in this matter.

Rule 12: Hearings

Hearings will be conducted in person unless both parties agree to a virtual hearing in writing. The Presiding Officer may allow a party or witness to testify by remote methods upon motion of a party and for good cause shown.

## Rule 13: Post-Hearing Briefs

- (a) Unless waived by the parties with the consent of the Presiding Officer, participants in a public hearing shall have the right to file post-hearing briefs in accordance with 16 Pa. Code § 42.121.
- (b) The manner and time of filing of post-hearing briefs will be as directed by the Presiding Officer. See 16 Pa. Code § 42.121.
- (c) Unless another period of time is fixed by the Presiding Officer, any post-hearing brief shall be filed within thirty (30) days from the date parties are notified that the transcript has been received. Post-hearing briefs shall include numbered proposed findings of fact with appropriate citation to the record, numbered conclusions of law with appropriate legal citations, and a memorandum in support of the conclusions. Parties are not permitted to file a reply brief unless approved by the Presiding Officer.

## **Rule 14: Appeal of Commission Orders**

Any party who appeals an Order of the Commission must provide a copy of all filings to the Office of the Hearing Examiner via email at ra-hradjudication@pa.gov.

#### **Rule 15: Current Contact Information**

All parties have an affirmative obligation to keep the Office of the Hearing Examiner informed of their current address, telephone number, and email address. If a party has a change in address, telephone number, or email address, the party shall immediately inform the Commission and all parties, in accordance with SPO Rule 5, of any such changes.

#### Rule 16: Communications with the Office of the Hearing Examiner

Ex parte communications with the Office of the Hearing Examiner, including Hearing Examiners and administrative staff directly or via <a href="mailto:ra-hradjudication@pa.gov">ra-hradjudication@pa.gov</a>, are prohibited. Unless initiated or requested by a Hearing Examiner, parties should **not** send email directly to or copy a particular Hearing Examiner. The Office of the Hearing Examiner should **not** be included on any communications among counsel.

#### **Rule 17: Conciliation or Settlement**

Once the matter has been approved for public hearing, counsel shall notify the Presiding Officer in writing within five (5) days of any settlement or other resolution via email to <a href="mailto:ra-hradjudication@pa.gov">ra-hradjudication@pa.gov</a>.

## APPENDIX A

## FORMS RELATING TO ATTORNEY REPRESENTATION AND SERVICE

- 1. Notice of Appearance
- 2. Notice of Intent
- 3. Certificate of Authority
- 4. Consent to Electronic Service

## COMMONWEALTH OF PENNSYLVANIA

## GOVERNOR'S OFFICE

## PENNSYLVANIA HUMAN RELATIONS COMMISSION

In the matter of:				
Case No.:				
NOTICE OF APPEARANCE				
Please enter my appearance in the ab	ove-captioned matter on behalf of:			
I am authorized to accept service on behalf of said participant in this matter.				
(CHECK ONE)				
	equest a copy of each document y the Pennsylvania Human Relations			
issued to my client by the Penn in this matter (alone, or in a co	I am already receiving or have access to a copy of each document issued to my client by the Pennsylvania Human Relations Commission in this matter (alone, or in a consolidated proceeding) and do not, on the basis of this notice, require an additional copy.			
	Signature			
	Name (Printed)			
	P.O. Address			
	City, State, and Zip Code			
	Telephone (including area code)			
	Date			

## COMMONWEALTH OF PENNSYLVANIA

## GOVERNOR'S OFFICE

## PENNSYLVANIA HUMAN RELATIONS COMMISSION

In the matter of:				
Case No.:				
Appearing on Behalf of:				
NOTICE OF INTENT				
Notice is hereby given that,				
Signature				
Name (Printed)				
P.O. Address				
City, State, and Zip Code				
Telephone (including area code)				

## COMMONWEALTH OF PENNSYLVANIA

## GOVERNOR'S OFFICE

## PENNSYLVANIA HUMAN RELATIONS COMMISSION

In the	matter of:		
Case N	lo.:		
Appear	ring on Behalf of:		
CERTIFICATE OF AUTHORITY			
caption my exp unders	I,		
	I do/do not (circle one) authorize that Commission staff counsel involved in this proceeding at public hearing.		
	Signature		
	Name (Printed)		
	P.O. Address		
	City, State, and Zip Code		
	<del> </del>		
	Telephone (including area code)		
	Date		

## **CONSENT TO ELECTRONIC SERVICE**

I am the participant named below, or I am authorized to accept service on behalf of the participant named below. I hereby consent to receive service of notices and documents in my case by means of the email address provided below. I affirm that:

- 1. I have regular access to my email account and to the internet and will check my email account regularly so that I do not miss a case filing or notice.
- 2. I understand that by consenting to electronic service, I will no longer receive the same documents in paper form by mail.
- 3. I will promptly notify the Commission Office if there is any change in my personal data, such as name, address, or email address.
- 4. I understand that I may cancel this consent to electronic service at any time by notifying the Commission in writing.

Case Name and Docket Number:				
Participant Name:				
Attorney Name and ID Number (if applicable):				
Mailing Address:				
Phone Number:				
Email Address:				
Signature:	Date:			

Return completed form to <u>ra-hradjudication@pa.gov</u> with service to all parties.

## APPENDIX B FILING GUIDELINES

(<u>Note</u>: Filing Guidelines apply to ALL filings made to the Office of the Hearing Examiner, including filings made prior to case placement on the public hearing docket.)

## Instructions for Emailing Documents for Filing with the PHRC

- 1. Documents to be submitted electronically must be in PDF format.
- 2. Documents to be filed may be emailed to: ra-hradjudication@pa.gov
  - This email address is solely for submitting attached PDF documents for filing.
  - b. Do not include comments, questions, or additional correspondence in the email as such comments, questions, or additional correspondence will not receive a response from the Commission.
- 3. Documents to be filed must be attached to the email and not contained in the body of the email.
- 4. Documents filed by email must be signed by the filing party either by (a) signing by hand and then scanning the document, or (b) signing electronically using a digital signature.
- 5. The email and attached documents must contain the filer's name and the case's docket number (if one has been assigned).
- 6. A document submitted for filing by email does not have to be delivered in hard copy form to the Commission.
- 7. The email and attachment will constitute the official record of the filing.
- 8. Documents received by 11:59 pm will be considered filed that day. Documents received after 11:59 pm or on weekends and holidays will be considered filed the following business day.

### **Service of Documents Filed by Email**

- 1. Documents filed by email must be served on all participants.
- Filings may be made to the Office of the Hearing Examiner by emailing a copy to: <u>ra-hradjudication@pa.gov</u>
- 3. Hearing Examiners shall **NOT** be directly contacted unless otherwise ordered.
- 4. All other participants must be served either by copying (CC) or by mailing a paper copy, properly addressed with postage prepaid.

#### Format of Documents Filed by Email

- 1. Legal captions and headings shall follow the template provided in Appendix C.
- 2. All filings shall include numbered pages and certify that the filing adheres to all relevant and applicable federal and state statutes and regulations regarding confidentiality.
- 3. Accompanying exhibits shall be attached as separate PDFs and clearly marked.
- 4. References to any documents not available on Westlaw shall be included as an appendix to filing.

## **APPENDIX C**

### **SAMPLE HEADING FOR FILINGS**

## COMMONWEALTH OF PENNSYLVANIA GOVERNOR'S OFFICE PENNSYLVANIA HUMAN RELATIONS COMMISSION

[Complainant's Name], :

Complainant

v. : PHRC Case No. [Number]

:

[Respondent's Name], : EEOC/HUD No. [Number]

Respondent :

## **ITITLE OF MOTION. BRIEF. OR OTHER DOCUMENT**

1.

2.

## <u>APPENDIX D</u>

## **CONFIDENTIALITY FORM**

## **REQUIRED AS OF JANUARY 3, 2025**

(Party name as displayed in case caption)	Docket/Case No.
Vs.	
(Party name as displayed in case caption)	
This form is associated with the pleading titled	, dated,
The Confidential Document Form shall accompany a filing law, ordered by the Pennsylvania Human Relations Commotherwise necessary to effect the disposition of a matter. however the documents attached shall not be publicly accommondated will be available to the parties, couns and the Pennsylvania Human Relations Commission.	nission Office of The Hearing Examiner, or is This form shall be accessible to the public, cessible, except as ordered by a court. The
Please only attach documents necessary for the purpocheck all that apply. This form and any additional pages mocounsel of record.	• • • • • • • • • • • • • • • • • • •
Type of Confidential Document	Paragraph, page, etc. where the confidential document is referenced in the filing:
Tax returns and schedules	9.
W-2 forms and schedules including 1099 forms or sin documents	nilar
Wage stubs, earning statements, or other similar documents	
Credit card statements	
Financial institution statements (e.g., investment/bank	(
statements)	
Check registers	
Checks or equivalent	
Loan application documents	
Minors' educational records	

T		
Medical/Psychological records		
Other information as agreed to by the parties		
I certify that this filing complies with the requireme	nts set forth by the Pennsylvania Human	
Relations Commission Office of the Hearing Examiner.		
9		
- <u></u> -		
Signature of Attorney or Unrepresented Party	Date	
eignature er, tterney er em epresenteur arty	Salo	
Name:	Attorney Number: (if applicable)	
	, memos rumben (n appheable)	
Address:	Telephone:	
	Email:	

#### Instructions for Completing the Confidential Document Form

The following documents are confidential and shall be filed with a court or the Pennsylvania Human Relations Commission Office of the Hearing Examiner with the "Confidential Document Form":

- 1. Financial Source Documents as listed on the form
- 2. Minors' educational records
- 3. Medical/Psychological records are defined as "records relating to the past, present, or future physical or mental health or condition of an individual"
- 4. Other information as agreed to by the parties

For each confidential document, list the paragraph, page, etc. where the document is referenced in the filing.

- Please only attach documents necessary for the purposes of this case.
- Complete the entire form and check all that apply.
- This form, and any additional pages, must be served on all unrepresented parties and counsel of record.

A court or custodian is not required to review or redact any filed document for compliance. A party's or attorney's failure to comply shall not affect access to case records that are otherwise accessible.

If a filed document fails to comply with the above referenced policy, a court of record may, upon motion or its own initiative, with or without a hearing, order the filed document sealed; A court of record may impose sanctions, including costs necessary to prepare a compliant document for filing in accordance with applicable authority.